

Welcome to the HESES19 seminar

Ross Lawlor

Funding round manager

Friends House, London

10 September 2019

Wifi: TBC

Handouts will be available to download from

www.officeforstudents.org.uk/data-and-analysis/data-collection/

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Today's agenda

9:30	Registration and refreshments
10:00	Welcome and introductions
10:05	1. Introduction to HESES
10:35	2a. Definitions: categorising students; quiz
11:40	Break
11:50	2b. Definitions: counting students & table 5; quiz
12:55	Lunch
13:20	2c. Definitions: the health tables (certain providers only)
13:40	3. Table exercises
14:25	4. Submitting HESES and data verification
15:15	Summary and final points; available for questions

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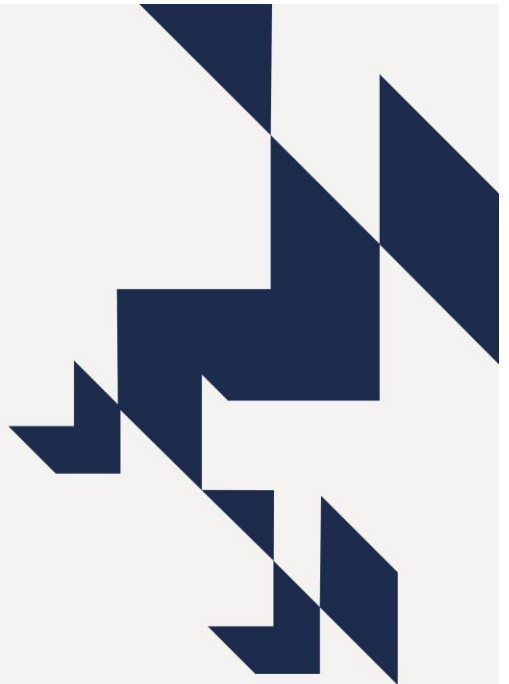
OfS Staff here today

- Yvonne Cooper, Project Administrator
- Ross Lawlor, Funding Round Manager
- Roger Lear, Funding Round Adviser
- Jack Preston, Analyst
- Graham Price, Funding Round Adviser
- Will Scott, Funding Round Adviser
- Claudia Stilliard, Funding Round Adviser
- Toby West-Taylor, Head of Funding



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Introduction to HESES



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Overview

- OfS funding streams
- Funding round cycle
- HESES timeline
- What is recorded in HESES?
- Changes since last year



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OfS funding for 2019-20

- For 2019-20 we are distributing £1,449 million:
 - Recurrent teaching grant - £1,309 million
 - Capital funding - £100 million
 - Funding for national facilities and regulatory initiatives: £40 million
- We fund providers registered with the OfS in **Approved (fee cap)**
- Funding is primarily based on either:
 - Registered student numbers in 2018-19
 - A forecast of numbers for 2019-20
- HESES19 records student numbers in 2019-20 and will inform funding for 2020-21.

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Teaching funding streams

- **High-cost subject funding:** based on FTEs reported in HESES in price groups
- **Targeted allocation:** based on a combination of HESES and individualised ILR/HESA data

Our current funding methods (for 2019-20) are based on:

- **HESES18 data** – the main source of (aggregated) data for calculating funding
- **2017-18 individualised student data** – reported to HESA or to the ESFA in the ILR



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High cost subject funding (2019-20 rates)

Funding recognises the extra costs associated with teaching certain courses.

NOTE – most of the funding for teaching comes from tuition fees

Price group	Basic subject-based allocation per FTE (before scaling of 1.025)
A: clinical medical, dentistry and veterinary science	£10,000
B: laboratory-based science and engineering; pre-registration midwifery & allied health professions	£1,500
C1: art and design; media studies; IT and computer science; archaeology; pre-registration nursing	£250
C2: other intermediate-cost subjects with a laboratory, studio or field work element	£0
D: classroom-based subjects	£0

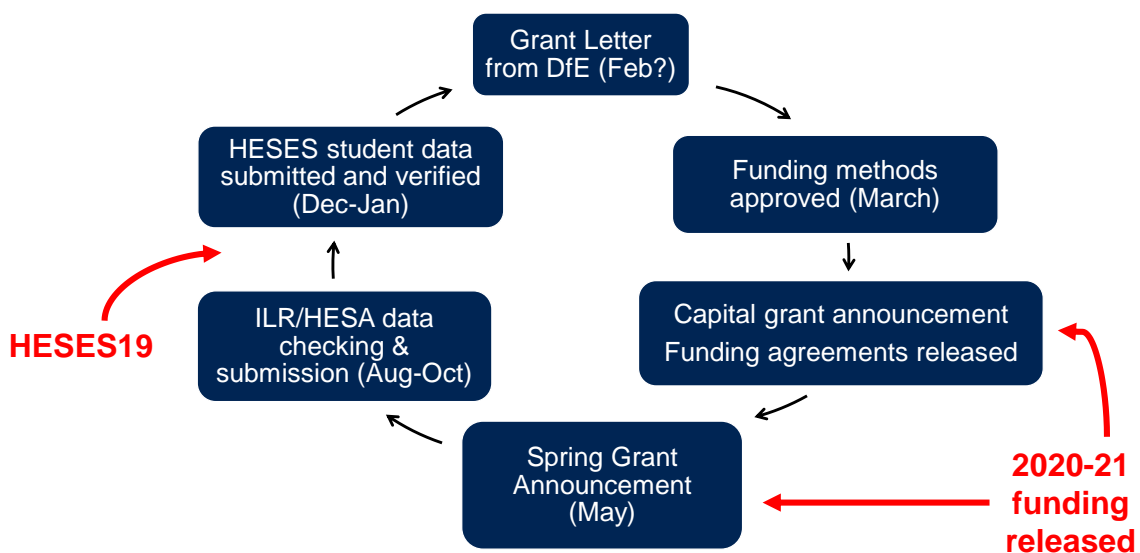
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Targeted allocations with data sources (2019-20)

- Student premiums:
 - Based on student characteristics from HESA/ILR and FTEs from HESES
 - Premium to support successful student outcomes (full-time and part-time allocations)
 - Disabled students' premium
- Other targeted allocations include:
 - Postgraduate taught supplement (PGT (other) numbers from HESES)
 - Accelerated full-time undergraduate; intensive postgraduate (long courses from HESES)
 - ERASMUS+ and overseas study programmes (from HESES)
 - London weighting (delivery location from HESA/ILR; FTEs from HESES)

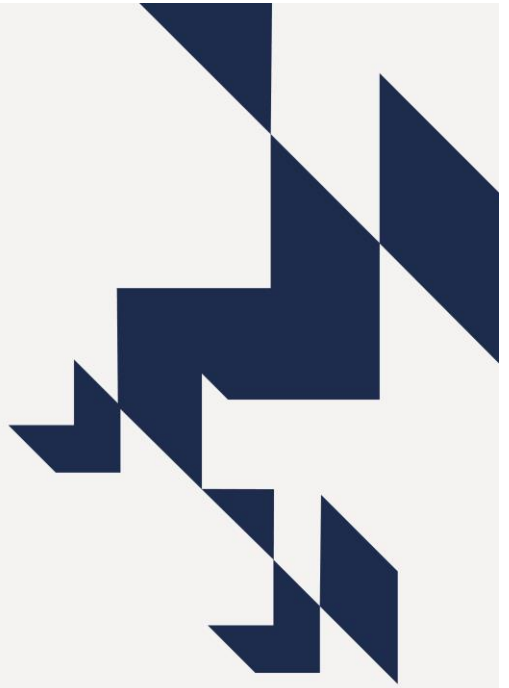
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Funding round cycle



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The HESES process



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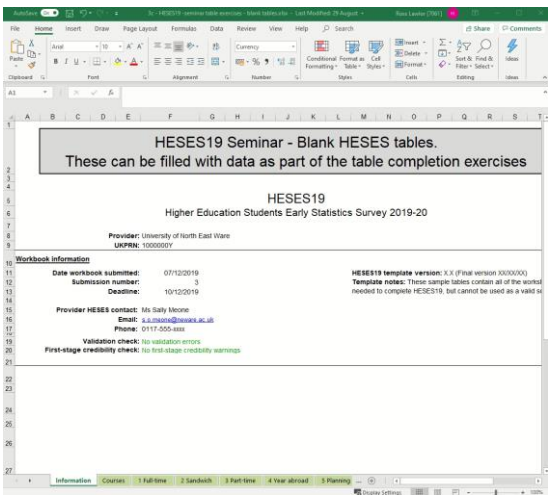
What is HESES for?

- HESES19 records aggregate student numbers in 2019-20 and will inform funding for 2020-21.
 - ✓ In-year data to inform funding
 - ✗ includes some elements of forecasting
- Used for:
 - Funding:
 - Some regulation and assurance



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The Structure of HESES19



Worksheets in the HESES workbook

- Information
- Courses table (Colleges only)
- 1: Full-time
- 2: Sandwich year out
- 3: Part-time
- 4: Years abroad
- 5: Planning
- 6a-6c: Health tables

Other worksheets are shown after submission

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HESES process timeline

Date	Activity
October 2019	HESES19 workbook available to download from the OfS portal
1 Nov 2019	(Further education colleges) HESES19 census date
14 Nov 2019	(Further education colleges) Noon deadline to submit HESES19
1 Dec 2019	(All other providers) HESES19 census date
10 Dec 2019	(All other providers) Noon deadline to submit HESES19
Census date through Jan 2020	Data verification – we will ask you questions about your data based on verification and credibility checks, and comparisons with previous years' data
3 Feb 2020	Deadline for HESES19 sign off by provider's accountable officer

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What is recorded in HESES19?

HESES counts:

- **'years of instance'** – not the same as student headcount.

Students must be:

- aiming for a recognised higher education qualification or HE-level credit that can be towards one
- Registered with your provider and actively pursuing studies in 2019-20 academic year

Census date:

- 1 November (colleges)
- 1 December (all other providers)

Years of instance (in 2019-20) are counted in HESES if they:

- start before and are active on the census date
- are forecast to be active after the census date (but before 31 July 2020)

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Definition of recognised HE

A course is recognised higher education for OfS funding purposes if it is:

- A course that is designated under the Education (Student Support) Regulations 2011.
- A 'course of higher education' as defined in Schedule 6 of the Education Reform Act 1988, other than one leading to a qualification in the Register of Regulated Qualifications.

Study for higher education-level credit (rather than towards a specific qualification) may be included if it meets the criteria in Schedule 6 of the Education Reform Act 1988 and **either**:

- it is not credit that may count only towards a qualification in the Register of Regulated Qualifications
- it is credit that may count towards a course that is designated under the Education (Student Support) Regulations 2011.

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Partnerships: validation and subcontractual arrangements

OfS funding powers apply to the provider with full contractual responsibility: the **registering** provider.

- SLC pays tuition fee loans to registering provider.
- For apprenticeships – this is the same as the **lead provider** for the ESFA – the provider that receives the apprenticeship funding from the ESFA.

Validation-only arrangement

- Student is registered with the **teaching** provider and they report students in their data returns

Subcontractual ('franchise') arrangement

- Student is not registered by the teaching provider
- The teaching provider **does not** report students in their data returns

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Notes on Apprenticeships



Some students may be taking their higher education qualification as part of an apprenticeship.

- They should be registered with and reported by the lead provider (usually contracted by the ESFA).
- We would normally expect them to be part-time.
- We only fund activity related to the higher education course.
- They are not considered to be closed courses (more on this later)
- They are reported in HESES the same as any other student.

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Changes from previous year

See publications OfS 2019.27 and OfS 2019.31

- Tweaked definition of recognised HE for funding purposes
- No longer a separate HEIFES return
- Change to how price groups are assigned (more on this later)

For the HESES19 return:

- Revised format for Table 5 (Planning); no longer collecting separate information on apprenticeships
- Table 6 removed; no longer collecting separate information on subcontractual relationships
- Tables 7 (Health) renamed to Tables 6 and revised for providers delivering pre-registration Health courses
- Slightly later signoff deadline

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General note on amendments to data

- We will not automatically accept data amendments after sign-off; errors in data will be assessed by an OfS panel
- Panel decides if amendments are required
- To be required, amendments must be **widespread** and **significant** with **material impact** on our use of the data
- Amendments may be used for one purpose, not all
- Get it right the first time



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Where to find more information

The OfS website (www.officeforstudents.org.uk)

HESES19 guidance: instructions for completing tables; funding rules and definitions (published end September)

Guide to Funding 2019-20: explanations of how we calculate funding for higher education providers and how it is allocated

Contact emails

Funding queries: recurrentgrant@officeforstudents.org.uk

HESES queries: heses@officeforstudents.org.uk

ILR queries: ilrdata@officeforstudents.org.uk

HESA queries: hesastudentdata@officeforstudents.org.uk

HESA AP queries: apdata@officeforstudents.org.uk

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