

Welcome to the OfS funding data returns seminar

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Wifi: TBC

Handouts available to download from <https://goo.gl/nc383Y>

Who's here?

Providers

- 'Alternative providers' with course designation for student support
- Other providers of higher education
- Future providers of higher education
- Wide variety of subjects/courses offered



Types of data return for OfS funding

Providers complete either:

- **HESESB** with data on 2018-19 provision if you have recognised higher education this academic year

or

- **HESF** with a forecast of provision for 2019-20, if you have no direct recognised higher education



Data returns: HESES18B and HESF19

Providers completing these returns will be registered (or have applied to register) with the OfS in the **Approved (fee cap) category** and **not receiving** OfS funding for 2018-19.

Both surveys share common definitions and concepts.

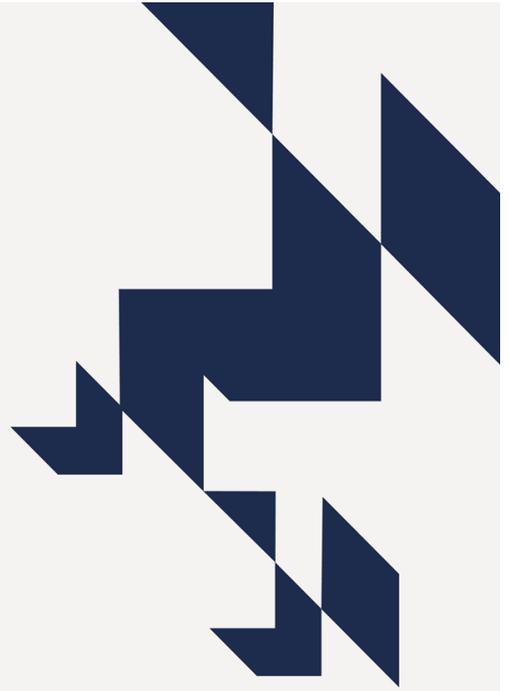
Higher Education Students Early Statistics survey (HESES18B):

- Providers will have registered students on higher education courses recognised for OfS funding purposes
- Will return a count of those students attending in 2018-19

Higher Education Student Forecast (HESF19):

- Providers don't have such students and will return a **forecast** of the students expected to attend in 2019-20.

OfS funding and data



Overview

- Role of the OfS
- Purpose of data returns
- Funding round cycle
- OfS funding streams
- Timeline



The Office for Students

- Formerly HEFCE until April 2018
- Increased regulatory responsibilities & Register
- Same teaching funding responsibilities as HEFCE until August 2019

In 2018-19

- OfS funds Further Education and Sixth Form College (FECs) and Higher Education Institutions (HEIs)

In 2019-20

- OfS funds all higher education providers registered in Approved (fee cap) category
- Subject to successful sign off of data!

The OfS approach to funding 2019-20

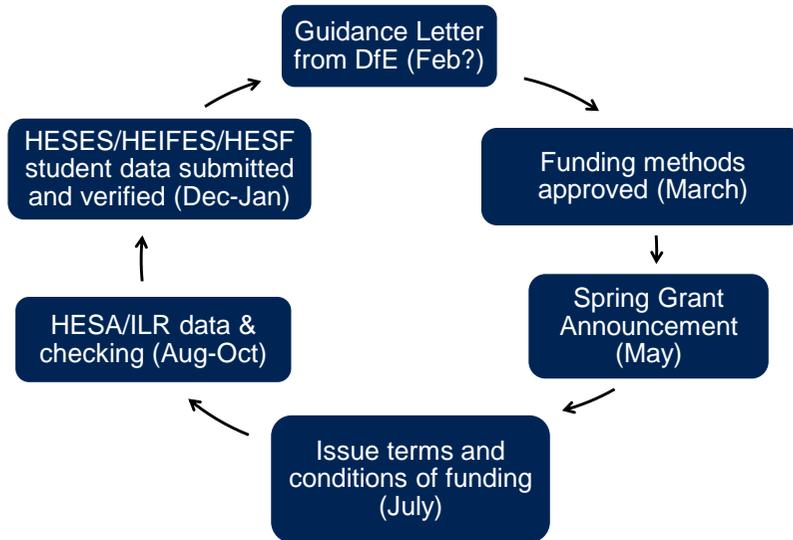
Funding powers and responsibilities under Higher Education and Research Act (HERA) 2017 will apply

Funding methodology for 2019-20 likely to be transitional awaiting outcomes of:

- Government review of post-18 education
- Review of our funding method

This presentation generally refers to 2018-19 funding methodology which may change for 2019-20

Funding round cycle



Funding objectives

We aim to ensure that...

Office for Students 

every student, whatever their background,	has a fulfilling experience of higher education	that enriches their lives and careers.
Participation	Experience	Outcomes

How do we fund recognised higher education?

- Fund activity, not individual students
- Calculated by formulae from provider data returns
- Block grant, paid in 9 instalments
- Terms and conditions attached to grant: accurate and timely data returns
- ‘Grant announcement’ in spring
- Funding allocations may be updated in July and/or October
- Grant tables on the OfS portal

Definition of recognised higher education

Recognised higher education for OfS funding purposes

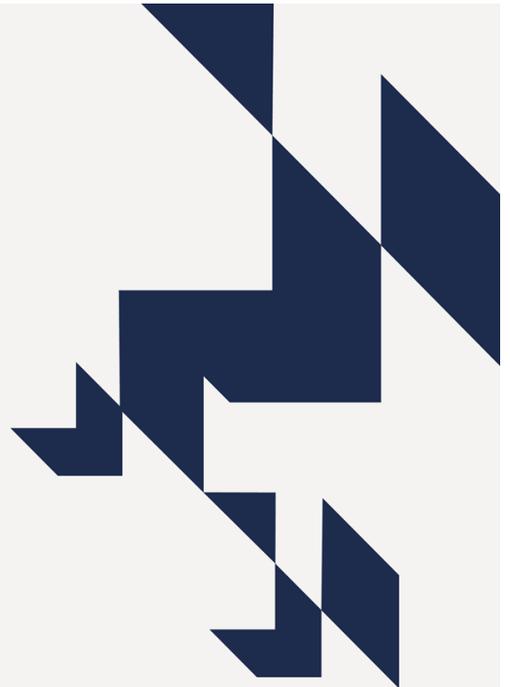
- **Includes** courses that are designated under the Education (Student Support) Regulations 2011 and other courses that lead to qualifications meeting the standards at Level 4 and above of The Frameworks for Higher Education Qualifications of UK Degree-Awarding Bodies (FHEQ), **or credit** towards such a qualification that is itself at Level 4 or above.
- **Excludes** courses (and credit towards such courses) leading to qualifications in the Register of Regulated Qualifications, unless they are courses designated under the Education (Student Support) Regulations 2011.

Taken from the OfS publication ‘Funding for academic year 2019-20’

Definition of recognised HE

- Recognised HE courses leading to qualifications at Level 4 or above, excluding courses funded by other public bodies (e.g. ESFA)
 - UG or PG degree, accredited HE diploma or certificate
 - Not NVQs
- HE-level credit that can be counted towards a recognised HE course
- Foundation years/ free standing level zero provision provided they are integrated i.e. student registered at start for HE qualification at same institution
- Includes qualifications that are part of an apprenticeship

The OfS teaching funding streams



Data sources for funding allocations

Applying current funding methodology, which may change
2019-20 funding allocations (to be announced in spring 2019)

Informed by:

Aggregated data return – HESES18B or HESF19

- categories such as price groups, mode, level
- includes forecasts of completions and of students starting after 1 December 2018

Individualised data return – HESA student record or ILR

- End of year return – we use data for 2017-18
- student characteristics used for some targeted allocations
- Where no data available for a provider we use average rates

OfS teaching funding streams

- **High-cost subject funding:** top up funding for higher cost subjects

based on FTEs reported in HESES or HESF in price groups

- **Targeted allocations:** to support strategically important areas

based on combination of HESA/ILR and HESES data



High cost subject funding (2018-19 rates)

Price group	Subject-based allocation per FTE
A: clinical medical, dentistry and veterinary science	£10,000
B: laboratory-based science and engineering	£1,500
C1: art and design; media studies; IT and computer science; archaeology	£250
C2: other intermediate-cost subjects with a laboratory, studio or field work element	£0
D: classroom-based subjects	£0

Targeted allocations with data sources (2018-19)

- Student premiums:
 - Student characteristics from HESA/ILR and FTEs from HESES
 - Premium to support successful student outcomes (full-time and part-time allocations)
 - Disabled students' premium
- Other targeted allocations include:
 - Postgraduate taught supplement (PGT (other) numbers from HESES)
 - accelerated full-time undergraduate; intensive postgraduate (long courses from HESES)
 - ERASMUS+ and overseas study programmes (from HESES)
 - London weighting (delivery location from HESA; FTEs from HESES)

Student premiums in 2018-19

Premium to support successful student outcomes: full-time

- Undergraduates only: data on entry qualifications, age, qualification aim ▶ risk categories for retention in learning
- **Each risk category has a weighting** from zero to 3 ▶ provider weighting
- Average provider weighting used for new providers with no individualised data
- Undergraduate student numbers in all years x provider weighting factor and London weighting
- Supplement uses postcode data to identify students from low HE participation neighbourhoods (POLAR3 quintiles 1 and 2)

Premium to support successful student outcomes: part-time

- As above, but all part-time students high risk

Disabled students' premium

- Based on students claiming disabled students allowance or with self-declared disability

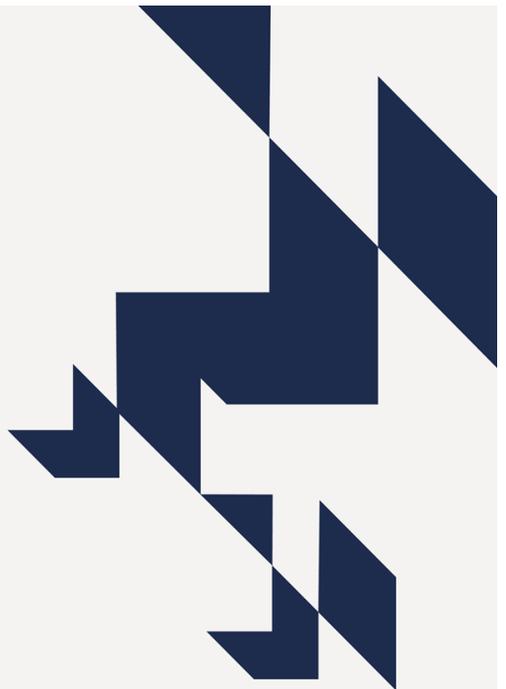
London weighting (2018-19)

- *Students attending courses in London* targeted allocation on grant tables
- For providers delivering provision in inner or outer London
- Derived from the '**Location**' table in HESES18b or HESF19
- In 2018-19 rates are 8% to 12% for student premiums; £167 to £1000 for high cost subject funding, depending on price group
- Funding stream subject to review

Grant table A for 2018-19

PROVIDER NAME				Spring 2018
Table A: 2018-19 Summary of teaching allocations and funding agreement requirements				
	2018-19	Allocation (£)		Of which related to nursing, midwifery and allied health funding transfer (£)
High-cost subject funding		19,725,668		0
Targeted allocations				
Premium to support successful student outcomes: full-time		20,610		0
Premium to support successful student outcomes: part-time		2,396		0
Disabled students' premium		169,519		0
Erasmus+ and overseas study programmes		185,200		0
Nursing, midwifery and allied health supplement		0		0
Postgraduate taught supplement		548,785		0
Intensive postgraduate provision		722,933		0
Accelerated full-time undergraduate provision		0		0
Students attending courses in London		4,102,701		0
Very high-cost STEM subjects		1,273,124		0
Specialist institutions		0		0
Clinical consultants' pay		1,251,634		0
Senior academic GPs' pay		12,496		0
NHS pensions scheme compensation		351,850		0
Total targeted allocations		8,641,248		0
Total teaching funding		28,366,916		0
Funding agreement requirements				
Medical intake target for 2017-18			322	
Additional medical places for 2018-19			23	
Medical intake target for 2018-19			345	
<i>Of which maximum overseas numbers</i>			24	
Dental intake target for 2018-19			0	
<i>Of which maximum overseas numbers</i>			0	
Information A Summary B High-cost C Student premium D Erasmus+ E NMAH supplement F Oth				

The HESES & HESF process timeline



HESES process timeline

Date	Activity
October 2018	HESES18B & HESF19 workbooks will be available to download from the OfS portal
1 December 2018	HESES18 census date
19 December 2018	Noon deadline to return HESES18B and HESF19 data
20 December 2018 onwards	Data verification – you will be asked questions about the data based on verification and credibility checks, which may include comparisons with other data sources
28 January 2019	Deadline for data to be signed off by your provider's accountable officer

What do the surveys count?



Both surveys count **'years of instance'** rather than student headcount.

- Where a student is aiming for a recognised HE qualification or HE-level credit

Counted in HESES18

Broadly, the **HESES18 population**:

- Includes students registered at the provider and actively pursuing studies in 2018-19 academic year
- Includes outgoing, but not incoming, exchange students
- Excludes students taught at the provider under a sub-contractual arrangement but registered at another provider
- Excludes students where more than half of the active study time for the whole instance is spent abroad

Years of instance are counted in HESES if active on **1 December 2018** (i.e. has started and not withdrawn) or forecast to be active after that date (but before 31 July 2019)

Counted in HESF19

Broadly, the **HESF19 population**:

- Includes students expected to be registered at your provider and actively pursuing studies in 2019-20 academic year
- Includes outgoing, but not incoming, exchange students
- Excludes students you will be teaching on behalf of another registering provider under a sub-contractual arrangement
- Excludes students where more than half of the active study time for the whole instance will be spent abroad
- Only includes **new entrants**
- Includes outgoing, but not incoming, exchange students

Tables that make up HESES18B and HESF19

Table name	What the table records	HESES18B	HESF19
1: Full time	Full-time students in 2018-19	✓	
2: Sandwich	Sandwich year-out students in 2018-19	✓	
3: Part-time	Part-time students in 2018-19	✓	
4: Year abroad	Years spent abroad in 2018-19	✓	
5: Planning	Further breakdown of students for planning purposes (e.g. apprenticeships)	✓	
6: Subcontractual	Students taught under subcontractual arrangements	✓	
7a-7c: Health	Students on health courses	✓	
HESF19	Forecast of students in 2019-20		✓
Locations	Information on teaching locations	✓	✓

Partnerships: validation and sub-contractual arrangements

Validation-only arrangement

- Provider with degree awarding powers provides assurance about the standards of an HE qualification
- Teaching institution usually designs the course
- Student is registered with the teaching provider, which reports students in data

Sub-contractual ('franchise') arrangement

- Student is taught by a provider other than the one they are registered with
- Registering provider reports data

OfS funding powers apply to the provider with full contractual responsibility: the **registering** provider. Not expected to change for the student.

- SLC pays tuition fee loans to registering provider.

Amendments to data

- We will not automatically accept data amendments **after final submission**: errors in data will be assessed by an OfS panel
- Panel decides if amendments are required
- To be required, amendments must be **widespread** and **significant** with **material impact** on our use of the data.
- Amendments may be used for one purpose, not all
- Get it right first time



Where to find more information

The OfS website (www.officeforstudents.org.uk)

HESES18 guidance: instructions for completing tables; funding rules and definitions

Guide to Funding 2018-19

Contact emails

Funding queries: recurrentgrant@officeforstudents.org.uk

HESES queries: heses@officeforstudents.org.uk

HESF queries: heses@officeforstudents.org.uk

Thank you for listening

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