

## 2022-23 Individualised Learner Record (ILR) data submission tool

2022-23 Learner characteristics technical document and rebuild instructions

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### Summary

- 1. This document describes the learner characteristics (LC) data summary files generated by the 2022-23 Individualised Learner Record (ILR) data submission tool and the algorithms used to generate the data summary tables and rebuild instructions. Throughout the document, fields taken or derived from the ILR are shown in capitals.
- 2. The learner characteristics data summary can be used by higher education providers to verify and correct their 2022-23 individualised student data before submitting their data to the Education and Skills Funding Agency. The learner characteristics information provided within this summary correspond to characteristics and student groups that will also be used as the basis for 'split indicators' which inform an understanding of provider performance on student outcomes and experiences for a variety of regulatory purposes. These include:
  - a. Regulating access and participation through registration condition A1.1
  - b. Regulating student outcomes through registration condition B3, and for risk-based monitoring of quality and standards more generally.<sup>2</sup>
  - c. Assessments through the Teaching Excellence Framework (TEF).
- 3. The learner characteristics data output is formed of two files. These are:
  - a. The learner characteristics data summary workbook (LC22\_AAA\_XXXXXXX.xlsx).
  - b. The learner characteristics individualised file (LC22\_AAA\_XXXXXX\_IND.csv).

where XXXXXXXX is the UK Provider Reference Number (UKPRN) for the provider, and AAA identifies the output type as listed in Table 1.

Details of how to use individualised files are given on the Office for Students (OfS) website.

Table 1: File name abbreviations used in learner characteristics outputs

File abbreviation	Source of outputs
DCT	Data checking tool
AST	Amendment submission tool

4. These files can be accessed from the relevant area of the OfS portal. Access details will be sent to the appropriate contacts at providers when the data checking tool is made available.

<sup>&</sup>lt;sup>1</sup> The OfS registration conditions are described in the Regulatory framework for higher education in England, and its amendments, at <u>Securing student success</u>: <u>Regulatory framework for higher education in England -</u><u>Office for Students</u>.

<sup>&</sup>lt;sup>2</sup> As set out in the revised ongoing conditions of registration B1, B2, B4 and B5, which came into effect from 1 May 2022, and the revised initial and ongoing condition of registration B3, which came into effect from 3 October 2022.

- 5. This document is aimed at readers with in-depth knowledge of the data. Readers are advised to refer to the <u>'Specification of the Individualised Learner Record for 2022 to 2023</u>', available from the Education and Skills Funding Agency.
- 6. We will document any changes or corrections to the algorithms in this document in the 'updates' section of the <u>data checking tool</u> page on our website.
- 7. This document lists the fields used to build the data summary tables, which are either taken directly from the ILR return or derived from fields returned in the ILR.
- All fields with the prefix 'IP' are derived using the algorithms documented in the <u>technical</u> <u>algorithms for student outcome and experience measures Spring 2023 document</u> available on our website. They align with the approach described in <u>our 2022 consultation on the</u> <u>construction of student outcome and experience indicators used in OfS regulation</u>.
- 9. All fields with the prefix 'LC' are derived specifically for this data summary and are written in this document. These fields are necessary either because there is no equivalent 'IP' field, or because it has not been possible to derive the equivalent 'IP' field for this data summary, normally on account of the need to link a provider's student data returns to other data sources.

### Learner characteristics workbook

The learner characteristics workbook is the Excel workbook 'LC22\_AAA\_XXXXXXXX.xlsx', which contains the following worksheets:

Worksheet*	Title
Coversheet	Title page
Learner characteristics	Number of learners by characteristic
Taught location	Number of learners by regional location of study
Teaching provider	Number of learners by subcontractual partner
Validating body	Number of learners by validating body

#### Table 2: Worksheets in the learner characteristics workbook

\* This worksheet reference corresponds to the spreadsheet tabs.

### Learner characteristics individualised file

- 10. When working through this document it is necessary to use the individualised file, LC22\_AAA\_XXXXXXX\_IND.csv. The individualised file contains the values of all the fields used to generate the data summary tables.
- 11. Fields taken from the ILR return or derived as part of the data summary tables are shown in capitals using the names given in Tables 3 and 4 respectively. Fields prefixed with 'IP' or 'LC' are derived, all others are taken directly from the ILR or the Learning Aim Reference Service (LARS) with its search engine Find a Learning Aim (FaLA) as indicated in Table 3.
- 12. Specific instructions for rebuilding the learner characteristics workbook from the individualised file are provided in this document.

13. The fields we use from the ILR return are associated with a course, identified by its learning aim reference (LEARNAIMREF); a learner may study multiple courses. We will use the generic term 'learner' in this technical document and the learner characteristics data summary workbook to refer to one row of data in the individualised file which corresponds to a learner-course combination.

# ILR fields used to create the learner characteristic tables

14. The ILR fields used to generate the data summary are listed in Table 3.

#### Table 3: List of ILR fields used in the data summary

Name	Description	Dataset
UKPRN <sup>†</sup>	UK provider reference number	ILR
LEARNREFNUMBER <sup>†</sup>	Learner reference number	ILR
AIMSEQNUMBER†	Learning aim data set sequence	ILR
ULN†	Unique learner number	ILR
DATEOFBIRTH	Date of birth	ILR
DELLOCPOSTCODE	Learning delivery location postcode	ILR
DOMICILE	The country code of the learner's permanent or home address prior to entry to the course	ILR
ETHNICITY	The ethnicity of the learner	ILR
FUNDCOMP	The learner's completion status with respect to that year of programme of study being funded	ILR
FUNDLEV	The level of the programme of study, expressed in terms of OfS HESES definitions	ILR
HEPOSTCODE	Higher education centre location postcode	ILR
LEARNAIMREF	Learning aim reference	ILR
LEARNFAM_DLA	To indicate if the learner is in receipt of disabled students' allowance	ILR
LEARNPLANENDDATE	Learning end date	ILR
LEARNSTARTDATE	Learning start date	ILR
LLDDCAT <sup>‡</sup>	The most significant or primary learning difficulty, disability or health problem that impacts on the learner's education	ILR
LLDDHEALTHPROB	Indicates whether the learner has a learning difficulty, disability or health problem	ILR
MODESTUD	The mode of study expressed in terms of the OfS Higher Education Students Early Statistics survey (HESES) definitions.	ILR
MSTUFEE	The major source of tuition fees for the learner	ILR

Name	Description	Dataset
PARTNERUKPRN	Subcontracted UKPRN	ILR
PCFLDCS, PCSLDCS, PCTLDCS	Proportion taught in LDCS_CO1-CO3 subject	ILR
POSTCODEPRIOR	Postcode of the address of the learner prior to enrolment.	ILR
QUALENT3	Qualification on entry	ILR
SEX	Sex of learner	ILR
SPECFEE	Records any special or non-standard fees	ILR
TYPEYR	Identifies which of the four basic types of instance year is applicable to the learner	ILR
YEARSTU	The year number that the learner is in since enrolling for a course leading to the learner's qualification aim (whether or not the intended subject or class has changed)	ILR
AWARD_BO	Awarding body code	LARS
AWARD_BO_UKPRN	Awarding body UKPRN	LARS
NOTIONALNVQLEVELV2	Level of NVQ	LARS
LDCS_CO1, LDCS_CO2, LDCS_CO3	Learning directory classification system codes	LARS

† These fields are not used in the calculations but are included in the individualised file to allow easy identification of learners.

<sup>‡</sup> Where the learner has multiple types of learning difficulty, disability or health problem, the value of LLDDCAT with an associated value of PRIMARYLLDD = 1 is given in the individualised file.

### **Description of derived fields**

- 15. The derived fields used to generate the data summary are listed in Table 4.
- 16. Where the definition of a derived field remains unchanged from that published in our spring 2023 technical algorithms document, the algorithm has not been repeated in this document and we instead direct the reader to the previously published algorithm. In these cases Table 3 contains the paragraph reference in the spring 2023 technical algorithms document. <sup>3</sup>

Table 4: List of derived fields used in the data summary

Derived field name	Description	Paragraph
LCHEAIMFLAG	Identifies records of higher education	17
LCHEENTITYPOP	Identifies records where the learner higher education entity has been at least partially completed	18
IPBIRTHDATE	Date of birth of the learner	Technical algorithms paragraph 124
IPSTARTAGE	Age of the learner on 31 August in the year they commence their studies	Technical algorithms paragraph 125
IPSTARTAGEBAND	Age category	Technical algorithms paragraph 126
IPSEX	Sex	Technical algorithms paragraph 127
IPDISABLE	Indicates whether a disability has been reported	Technical algorithms paragraph 129
IPDISABLETYPE	Disability type	Technical algorithms paragraph 128
IPETHNIC	The learner's ethnicity in broad groupings	Technical algorithms paragraph 131
IPETHNICDETAIL	The learner's ethnicity in detail	Technical algorithms paragraph 130
IPPOSTCODE	Postcode prior to enrolment	Technical algorithms paragraph 147
IPLOCPOSTCODE	Location of study postcode	Technical algorithms paragraph 173
LCDOM	Domicile	19
LCENTQUALGRP	Highest qualification on entry	20
LCITL2REGION	Region of study	21

<sup>&</sup>lt;sup>3</sup> See 'Technical algorithms for student outcome and experience measures' available at <u>Description and</u> <u>definition of student outcome and experience measures - Office for Students</u>.

Derived field name	Description	Paragraph
LCAWARDBOD	Awarding body UKPRN	22
LCSBJ_CAHXX-XX*	The full person equivalent for each Common Aggregation Hierarchy (CAH2) codes per learner	23

\* There is one column for each of the 35 CAH2 codes. Each column records the full person equivalence for that subject.

### LCHEAIMFLAG

17. This field identifies higher education level learning aims.

Value	Description	Definition
1	The learning aim is at higher education level (Level 4 or above)	LEARNAIMREF ≠ ZPROG001 and NOTIONALNVQLEVEL2 = (4, 5, 6, 7, 8, H)
0	Otherwise	Otherwise

### LCHEENTITYPOP

18. This field identifies records where there is evidence that data has been returned on the learning delivery higher education. The fields used to identify this are those where the ILR schema mandates that the field is populated. This field identifies the same population as the equivalent field in the quality control data summary, QCHEENTITYPOP. The definition of this field can be found in the 2022-23 ILR quality control technical document available on the <u>data checking tool</u> page on our website.

Value	Description	Definition
1	The learning delivery higher education entity has been at least partially filled in	TYPEYR $\neq$ BLANK or MODESTUD $\neq$ BLANK or YEARSTU $\neq$ BLANK or MSTUFEE $\neq$ BLANK or SPECFEE $\neq$ BLANK
0	Otherwise	Otherwise

### LCDOM

19. Where the learner is domiciled.

Value	Description	Definition
E	England	DOMICILE = XF or (DOMICILE in (XJ, XK, GB) and IPPOSTCODE is in England) or (DOMICILE in (ZZ, BLANK) and IPPOSTCODE is in England)
S	Scotland	DOMICILE = XH or (DOMICILE in (XJ, XK, GB) and IPPOSTCODE is in Scotland) or

Value	Description	Definition
		(DOMICILE in (ZZ, BLANK) and IPPOSTCODE is in Scotland)
W	Wales	DOMICILE = XI or (DOMICILE in (XJ, XK, GB) and IPPOSTCODE is in Wales) or (DOMICILE in (ZZ, BLANK) and IPPOSTCODE is in Wales)
Ν	Northern Ireland	DOMICILE = XG or (DOMICILE in (XJ, XK, GB) and IPPOSTCODE is in Northern Ireland) or (DOMICILE in (ZZ, BLANK) and IPPOSTCODE is in Northern Ireland)
EU	European Union	DOMICILE in (AI, AN, AQ, AT, AW, AX, BE, BG, BL, BM, BQ, CH, CW, CY, CZ, DE, DK, EE, ES, EU, FI, FK, FO, FR, GF, GI, GL, GP, GR, GS, HR, HU, IC, IE, IO, IS, IT, KY, LI, LT, LU, LV, MF, MQ, MS, MT, NC, NL, NO, PF, PL, PM, PN, PT, RE, RO, SE, SH, SI, SK, SX, TC, TF, VG, WF, XA, XD, XE, YT)
UNKNOWN	Unknown Domicile	DOMICILE in (ZZ, BLANK) and IPPOSTCODE = BLANK or IPPOSTCODE invalid
OTHER	Not EU or UK	Otherwise

### LCENTQUALGRP

20. This field assigns the broad grouping of the learner's highest qualification on entry (QUALENT3).

Value	Description	Definition
HEPG	Higher education: Postgraduate level	QUALENT3 = DUK, DZZ, D80, M41, M44, M71, M80, M90, MUK, MZZ, H71
HEFD	Higher education: First degree level	QUALENT3 = M2X, H11, HUK, HZZ, JUK
HEOUG	Higher education: Other undergraduate level	QUALENT3 = H80, J10, J20, J30, J48, J80, C20, C30, C44, C80, C90
BACC	Baccalaureate	QUALENT3 = P62, P63
FOUND	Foundation course	QUALENT3 = J49
ACCESS	Access course	QUALENT3 = X00, X01
ALEVEL	A-levels	QUALENT3 = P50
BTECD	BTEC diploma	QUALENT3 = P41
BTECC	BTEC certificate	QUALENT3 = P42
L3UCAS	Level 3 qualifications of which are all subject to UCAS tariff	QUALENT3 = P93
OLEVEL3	Other Level 3 qualification	QUALENT3* = P (excluding P41, P42, P50, P62, P63, P93)

Value	Description	Definition
NONE	No formal qualifications	QUALENT3 = X02, X03, X05
OTHERS	Other qualifications (unknown level, or below Level 3)	QUALENT3* = Q, R, X04
UNKNOWN	Unknown qualifications	Otherwise

\* The first character of QUALENT3 is used.

### LCITL2REGION

21. This field assigns the location of study postcode (IPLOCPOSTCODE) to broader regions of study defined by the International Territorial Levels, level 2 (ITL 2). This mapping is based on <u>National Statistics Postcode Lookup (NSPL) data</u> from May 2022. The NSPL lists all postcodes in the UK and links them to higher geographies.

### LCAWARDBOD

22. This field indicates the UKPRN of the awarding body. This is taken from the LARS database for each learning aim according to AWARD\_BO\_UKPRN. Where a learning aim has not been provided with an awarding body UKPRN on LARS, the UKPRN has been mapped using the provided awarding body code (AWARD\_BO). Where the awarding body code is listed as MULTI or NONE, LCAWARDBOD has been set to OTHER.

### LCSBJ-CAHXX-XX

23. These 35 fields assign the full person equivalent for each instance to a CAH2 subject or subjects, where XX-XX represents the CAH2 code. For example, a learner who is studying for a first degree in biology in 2022-23 will have one full-person equivalent (FPE = 1; a headcount measure) recorded under LCSBJ-CAH03-01. A learner who is studying a joint course first degree with equal proportions of mathematics and physics in 2022-23 will have each subject assigned an FPE of 0.5 (their single headcount for the instance being apportioned across the subject areas according to the proportion of the course that relates to each subject they are studying). This will be recorded under LCSBJ-CAH09-01 and LCSBJ-CAH07-01 for mathematics and physics, respectively. The FPE associated with each LCSBJ-CAHXX-XX code is derived using PCFLDCS, PCSLDCS and PCTLDCS. Where PCFLDCS, PCSLDCS and PCTLDCS do not sum to 1, FPE has been scaled to reflect this.

### **Rebuild instructions**

- 24. The individualised file, 'LC22\_AAA\_XXXXXXX\_IND.csv', can be used to interrogate the data and our calculation of derived fields by filtering to certain groups of records.
- 25. Specific instructions to rebuild the learner characteristics data summary workbook, 'LC22\_AAA\_XXXXXXXX.xlsx', are provided here. Full details of <u>how to access and use an</u> <u>individualised file</u> are given on our website.
- 26. The following sections of this document detail how to rebuild the populations of learners found in the sheets of the data summary workbook. Each sheet includes information which needs to be filtered from the individualised file. This is summarised in the table below.

#### Table 5: Learner characteristic data summary tables created using 2022-23 ILR data

Worksheet*	Title
Learner characteristics	Number of learners by characteristic
Taught location	Number of learners by regional location of study
Teaching provider	Number of learners by subcontractual partner
Validating body	Number of learners by validating body

\* This worksheet reference corresponds to the spreadsheet tabs.

- 27. To rebuild the headcounts in the workbook summary tables, apply the following filters to the individualised files:
  - a. LCHEAIMFLAG = 1 and LCHEENTITYPOP = 1.
  - b. The additional filters which should be applied to the individualised file to create the population for each specific row and column of the summary tables are explained on the following pages.
  - c. Once all the required filters have been applied the number of learners is returned by summing the number of records.

### Learner characteristics

28. This sheet shows the number of learners categorised by various characteristics. The possible options that are displayed on this sheet are listed in the tables below.

#### Age

Row value	Rebuild algorithm (LCHEAIMFLAG = 1 and LCHEENTITYPOP = 1 and)
Under 21	IPSTARTAGEBAND = U21
21 to 25	IPSTARTAGEBAND = 21_25
26 to 30	IPSTARTAGEBAND = 26_30

Row value	Rebuild algorithm (LCHEAIMFLAG = 1 and LCHEENTITYPOP = 1 and)
31 to 40	IPSTARTAGEBAND = 31_40
41 to 50	IPSTARTAGEBAND = 41_50
51 and over	IPSTARTAGEBAND = 51+
Unknown	IPSTARTAGEBAND = U

### Sex

Row value	Rebuild algorithm (LCHEAIMFLAG = 1 and LCHEENTITYPOP = 1 and)
Male	IPSEX = 1
Female	IPSEX = 2

### Disability

Row value	Rebuild algorithm (LCHEAIMFLAG = 1 and LCHEENTITYPOP = 1 and)
Disability reported	
In receipt of DSA	IPDISABLE = Y and LEARNFAM_DLA = 1
Not in receipt of DSA	IPDISABLE = Y and LEARNFAM_DLA $\neq$ 1
No disability reported	
In receipt of DSA	IPDISABLE = N and LEARNFAM_DLA = 1
Not in receipt of DSA	IPDISABLE = N and LEARNFAM_DLA ≠ 1

### Disability type

Row value	Rebuild algorithm (LCHEAIMFLAG = 1 and LCHEENTITYPOP = 1 and)
None	IPDISABLETYPE = NONE
Multiple impairments	IPDISABLETYPE = MULTI
Social or communication impairment	IPDISABLETYPE = SOC
Cognitive or learning impairment	IPDISABLETYPE = COG
Mental health condition	IPDISABLETYPE = MH
Sensory, medical or physical impairments	IPDISABLETYPE = PHY

### Ethnicity

Row value	Rebuild algorithm (LCHEAIMFLAG = 1 and LCHEENTITYPOP = 1 and)
Asian or Asian British	IPETHNIC = A
Black or African or Caribbean or Black British	IPETHNIC = B
Mixed or Multiple ethnic groups	IPETHNIC = M
Other ethnic group	IPETHNIC = O

Row value	Rebuild algorithm (LCHEAIMFLAG = 1 and LCHEENTITYPOP = 1 and…)
White	IPETHNIC = W
Unknown	IPETHNIC = U

### Entry qualifications

Row value	Rebuild algorithm (LCHEAIMFLAG = 1 and LCHEENTITYPOP = 1 and)
Higher education: Postgraduate level	LCENTQUALGRP = HEPG
Higher education: First degree level	LCENTQUALGRP = HEFD
Higher education: Other undergraduate level	LCENTQUALGRP = HEOUG
Baccalaureate	LCENTQUALGRP = BACC
Foundation course	LCENTQUALGRP = FOUND
Access course	LCENTQUALGRP = ACCESS
A-levels	LCENTQUALGRP = ALEVEL
BTEC diploma	LCENTQUALGRP = BTECD
BTEC certificate	LCENTQUALGRP = BTECC
Level 3 qualifications of which are all subject to UCAS tariff	LCENTQUALGRP = L3UCAS
Other Level 3 qualifications	LCENTQUALGRP = OLEVEL3
No formal qualifications	LCENTQUALGRP = NONE
Other qualifications (unknown level, or below Level 3)	LCENTQUALGRP = OTHERS
Unknown	LCENTQUALGRP = UNKNOWN

### Domicile

Row value	Rebuild algorithm (LCHEAIMFLAG = 1 and LCHEENTITYPOP = 1 and)
England	LCDOM = E
Other UK	LCDOM in (S, W, N)
EU	LCDOM = EU
Not EU or UK	LCDOM = OTHER
Unknown	LCDOM = UNKNOWN

### Subject of study (CAH2)

29. The number of learners per subject is returned by summing values (FPE) in the required LCSBJ\_CAHXX-XX column.

Row value	Rebuild algorithm (LCHEAIMFLAG = 1 and LCHEENTITYPOP = 1 and sum of LCSBJ_CAHXX_XX)
Medicine and dentistry (CAH01-01)	LCSBJ_CAH01_01
Pharmacology, toxicology and pharmacy (CAH02-02)	LCSBJ_CAH02_02
Nursing and midwifery (CAH02-04)	LCSBJ_CAH02_04
Medical sciences (CAH02-05)	LCSBJ_CAH02_05
Allied health (CAH02-06)	LCSBJ_CAH02_06
Biosciences (CAH03-01)	LCSBJ_CAH03_01
Sport and exercise sciences (CAH03-02)	LCSBJ_CAH03_02
Psychology (CAH04-01)	LCSBJ_CAH04_01
Veterinary sciences (CAH05-01)	LCSBJ_CAH05_01
Agriculture, food and related studies (CAH06-01)	LCSBJ_CAH06_01
Physics and astronomy (CAH07-01)	LCSBJ_CAH07_01
Chemistry (CAH07-02)	LCSBJ_CAH07_02
General, applied and forensic sciences (CAH07-04)	LCSBJ_CAH07_04
Mathematical sciences (CAH09-01)	LCSBJ_CAH09_01
Engineering (CAH10-01)	LCSBJ_CAH10_01
Materials and technology (CAH10-03)	LCSBJ_CAH10_03
Computing (CAH11-01)	LCSBJ_CAH11_01
Geography, earth and environmental studies (CAH26-01)	LCSBJ_CAH26_01
Architecture, building and planning (CAH13- 01)	LCSBJ_CAH13_01
Sociology, social policy and anthropology (CAH15-01)	LCSBJ_CAH15_01
Economics (CAH15-02)	LCSBJ_CAH15_02
Politics (CAH15-03)	LCSBJ_CAH15_03
Health and social care (CAH15-04)	LCSBJ_CAH15_04
Law (CAH16-01)	LCSBJ_CAH16_01
Business and management (CAH17-01)	LCSBJ_CAH17_01
Media, journalism and communications (CAH24-01)	LCSBJ_CAH24_01
English studies (CAH19-01)	LCSBJ_CAH19_01
Celtic studies (CAH19-02)	LCSBJ_CAH19_02
Languages and area studies (CAH19-04)	LCSBJ_CAH19_04
History and archaeology (CAH20-01)	LCSBJ_CAH20_01
Philosophy and religious studies (CAH20-02)	LCSBJ_CAH20_02

Row value	Rebuild algorithm (LCHEAIMFLAG = 1 and LCHEENTITYPOP = 1 and sum of LCSBJ_CAHXX_XX)
Creative arts and design (CAH25-01)	LCSBJ_CAH25_01
Performing arts (CAH25-02)	LCSBJ_CAH25_02
Education and teaching (CAH22-01)	LCSBJ_CAH22_01
Combined and general studies (CAH23-01)	LCSBJ_CAH23_01

### **Taught location**

30. This sheet summarises the number of learners by the ITL 2 regions as defined in LCITL2REGION.

### **Teaching provider**

31. Where a learner has been recorded with a subcontractual partner this sheet summarises the number of learners by filtering to a PARTNERUKPRN = UKPRN.

Teaching provider name	Teaching provider UKPRN	Rebuild algorithm (LCHEAIMFLAG = 1 and LCHEENTITYPOP = 1 and)
Taught at provider	UKPRN of provider	PARTNERUKPRN = 0
Taught provider name	UKPRN	PARTNERUKPRN = UKPRN

### Validating body

32. This sheet summarises the number of learners by their teaching provider and validating body as defined by PARTNERUKPRN and LCAWARDBOD, respectively.

Teaching provider	Validating body	Rebuild algorithm (LCHEAIMFLAG = 1 and
UKPRN	UKPRN	LCHEENTITYPOP = 1 and)
See paragraph 31	UKPRN	LCAWARDBOD = UKPRN and PARTNERUKPRN = (see paragraph 31)



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