

Office for  
Students



**2020-21 HESA  
Student Alternative  
post-collection outputs**  
Student premium technical document

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# Summary

1. This document describes the student premium (SP) funding data summary supplied as part of the 2020-21 Higher Education Statistics Agency (HESA) Student Alternative post-collection outputs, and the algorithms applied to the 2020-21 HESA Student Alternative record that are used to calculate the 2022-23 SP allocations. Throughout the document, fields taken or derived from the HESA Student Alternative record are shown in capitals.
2. The SP funding data summary should be used by staff responsible for individualised data returns at higher education providers. This document is intended to help them understand in detail how the data has been used to calculate their provider's 2022-23 SP allocations.
3. The SP funding data summary output is formed of two files. These are:
  - a. Summary workbook 'SP20\_XXXXXXXX.xlsx', where XXXXXXXX denotes the UK Provider Reference Number, UKPRN.
  - b. Individualised file 'SP20\_XXXXXXXX\_IND.csv'.

Details of how to use individualised files are given on the Office for Students (OfS) website at: [www.officeforstudents.org.uk/data-and-analysis/supplying-data/working-with-individualised-files](http://www.officeforstudents.org.uk/data-and-analysis/supplying-data/working-with-individualised-files).

4. These files can be accessed from the '2020-21 post-collection outputs' area of the OfS portal. Details will be emailed to the named student data contacts at providers when outputs become available.
5. This document is aimed at readers with in-depth knowledge of the data. Readers are advised to refer to the HESA Student Alternative Record Coding Manual 2020-21 (<https://www.hesa.ac.uk/collection/c20054>) when using this document.

## Changes from the 2019-20 HESA Student Alternative post-collection output

6. This section describes any changes to the algorithms since the publication of the technical document for the 2019-20 HESA Student Alternative post-collection output, available at [www.officeforstudents.org.uk/data-and-analysis/post-collection-outputs/hesa-student-alternative-post-collection-outputs/](http://www.officeforstudents.org.uk/data-and-analysis/post-collection-outputs/hesa-student-alternative-post-collection-outputs/) under '2019-20 documentation'. Any deletions are shown with a ~~strikethrough~~. Any other changes are shown as **bold and underlined**.

## SPNHS

7. The algorithm for (SPNHS = NHS2) has been updated to take account of the removal of REGBODY code 54 ('HCPC: Social workers in England') and its replacement with code 16 ('Social Work England'). Social Work England has taken over regulatory functions from the Health and Care Professions Council (HCPC), so code 16 is now returned for social workers in England.

Value	Definition
NHS2	HESLEVEL = PGT_ML, PGT_OTH and (HESREGBODY1_P1 = 54 <del>16</del> or HESREGBODY2_P1 = 54 <del>16</del> ) and HESCOURSEAIM_P1 ≠ M76

## SPUGDSA

8. The clauses that specify the course intensity for (HESMODE = PT) students have been updated to use HESESFTE\_INCLEST instead of HESSTULOAD, because HESESFTE\_INCLEST provides a more accurate estimate of FTE than HESSTULOAD.

Value	Definition
1	DOMICILE = XF, XG, XH, XI, XK and (HESLEVEL = UG or (HESLEVEL = PGT_ML, PGT_OTH, PGT_UGF and HESTTCID_P1 = 1, 2, Q)) and SPNHS ≠ NHS1 and HESCOURSEAIM_P1 ≠ C90, H90, I90, J90, M90 and (HESINITIATIVES1_P1 ≠ K and HESINITIATIVES2_P1 ≠ K and HESINITIATIVES3_P1 ≠ K) and (HESMODE = FTS or (HESMODE = SWOUT and HESMODE_P1 ≠ 23) or (HESMODE = PT and ((COMDATE < 1 September 2012 and HESSTULOAD HESESFTE_INCLEST ≥ 50) or (COMDATE ≥ 1 September 2012 and HESSTULOAD HESESFTE_INCLEST ≥ 25))))))

## SPPGDSA

9. The clauses that specify the course intensity for (HESMODE = PT) students have been updated to use HESESFTE\_INCLEST instead of HESSTULOAD, because HESESFTE\_INCLEST provides a more accurate estimate of FTE than HESSTULOAD.

Value	Definition
1	DOMICILE = XF, XG, XH, XI, XK and ((HESLEVEL = PGT_ML, PGT_OTH, PGT_UGF and HESTTCID_P1 ≠ 1, 2, Q) or HESLEVEL= PGR) and HESMSTUFEE_P1 ≠ 11, 12, 13, 14, 15, 17, 18, 19 and SPNHS ≠ NHS1, NHS2 and HESCOURSEAIM_P1 ≠ D90, E90, L90, M90 and (HESINITIATIVES1_P1 ≠ K and HESINITIATIVES2_P1 ≠ K and HESINITIATIVES3_P1 ≠ K) and (HESMODE = FTS or (HESMODE = SWOUT and HESMODE_P1 ≠ 23) or (HESMODE = PT and ((COMDATE < 1 September 2012 and HESSTULOAD <u>HESESFTE_INCLEST</u> ≥ 50) or (COMDATE ≥ 1 September 2012 and HESSTULOAD <u>HESESFTE_INCLEST</u> ≥ 25))))

## SPDISPOP

10. We normally exclude students recorded as not having completed their year of instance. This is consistent with how we count students for funding purposes more generally. However, the reporting of students' completion status in the 2019-20 HESA Student Alternative data was affected by the pandemic. Providers were advised that the FUNDCOMP field (completion of year of instance) would not be used by the OfS other than to identify records excluded from the HESES population. Consequently, we disregarded students' completion status when using the 2019-20 HESA Student Alternative data for student premium purposes. The COVID-19 exceptional guidance for the 2020-21 HESA Student Alternative record stated that the standard guidance should be followed for FUNDCOMP (see: <https://www.hesa.ac.uk/definitions/covid-19-exceptional-guidance-1920-hesa-collections>). A HESCOMP clause (HESCOMP is derived from FUNDCOMP) has therefore been added to exclude students recorded as not having completed their year of instance.

Value	Definition
1	<u>HESCOMP = 4</u> and HESEXCL = 0 and HESTYPE = HOMEF, HOMENF and (SPUGDSA = 1 or SPPGDSA = 1)

## SPBTEC\_HESA, SPBTEC\_LINKED

11. The following QUALTYPE codes have been added to the list used to identify BTEC qualifications: 8I, FJ, FL, FN, FP, FQ, FU, FV, FW, FX, FZ, G1, G3, G4, G5, G9, GJ, GK, QX.

## SPTARQUAL

12. The following QUALTYPE codes have been added to the list used to identify qualification types which could contribute to the tariff calculation (SPTARQUAL = TAR): 6U, 6V, 6Y, 7Z, 8F, 8G, 8H, 8I, 8J, 8N, 8P, 8Q, 8R, 8S, 8T, 8U, 8V, 8W, 8Z, 9A, 9J, 9P, 9R, 9S, 9T, 9Z, ER, EX, EZ, FF, FG, FH, GT, GU, GV, GW, GX, GY, GZ, H4, H5, H6, H7, H8, H9, HB, HE, HG, HH, HJ, HM, HN, HP, HQ, HR, HT, HU, HV, HW, HX, HY, HZ, K7, K8, K9, LC, MB, MF, MG, MH, MJ, MK, ML, MM, MN, MP, N7, OF, OH, OJ, OK, OL, OM, ON, OP, OQ, OR, OS, OV, OW, OX, OY, OZ, P6, P7, P8, P9, PG, PK, QP, QQ, QR, QS, QT, QU, QV, QW, QX, QY, QZ, R6, R7, R8, RA, RB, RC, RD, RF, RG, RH, RJ, RK, RL, RM, RZ, SD, SE, SF, SH, SJ, SK, SM, SQ, SR, SU, SV, T6, T7, T8, T9, TE, TF, TG, TH, TJ, TK, TL, TP, TQ, TR, TS, TT, TU, TV, W5, W8, XB, XC, XD, XE, XF, XG.

## SPEXCL1

13. A HESCOMP clause has been added for the reason given in paragraph 10.

Value	Definition
0	HESEXCL = 0 and <b>HESCOMP = 4 and</b> DOMICILE = XF, XG, XH, XI, XK and HESMODE = FTS, SWOUT and HESLEVEL = UG and (HESTYPE = HOMEF or (HESTYPE = HOMENF and HESCOURSEAIM_P1 = H16, I16, J26, M26 and (((HESREGBODY1_P1 = 06, 42, 44, 46, 47, 48, 50, 52, 55, 56, 57, 61, 62, 63, 64, 65 or HESREGBODY2_P1 = 06, 42, 44, 46, 47, 48, 50, 52, 55, 56, 57, 61, 62, 63, 64, 65) and COMDATE ≥ 1 August 2015 and COMDATE < 1 August 2017) or ((HESREGBODY1_P1 = 36, 37 or HESREGBODY2_P1 = 36, 37) and COMDATE ≥ 1 August 2016 and COMDATE < 1 August 2018))))))

## SPEXCL4

14. Details of the qualifications held by a student when the instance begins (stored in the QualificationsOnEntry entity) are no longer required where (QUALENT3 = P80). By removing the SPTARQUAL clause, any such details are now disregarded when determining whether to exclude a student with (QUALENT3 = P80) from the full-time successful outcomes population. The description for (SPEXCL4 = 1) is now 'Student has (QUALENT3 = P80) but no Level 3 qualifications were found by linking to ILR or NPD data'.

Value	Definition
1	QUALENT3 = P80 and <del>SPTARQUAL = EMPTY</del> and SPLINK ≠ 1

## SPQUIN

15. The OfS' Adult HE measure estimates the proportion of the adult population that holds a higher education qualification in a geographical area. Previously we have used the quintiles assigned to postcodes using the Adult HE 2001 measure to determine the value of SPQUIN for mature students (those aged 21 and over on entry). The Adult HE 2001 measure was calculated using

the 2001 census data associated with census area statistics wards (CAS wards). The Adult HE measure has been updated using the 2011 census data on higher education, which is publicly available (more information is available at [www.officeforstudents.org.uk/data-and-analysis/young-participation-by-area/about-polar-and-adult-he](http://www.officeforstudents.org.uk/data-and-analysis/young-participation-by-area/about-polar-and-adult-he) under 'What is Adult HE 2011?'). The Adult HE 2011 measure is based on 2011 Middle Layer Super Output Areas (MSOA) in England and Wales, 2011 Super Output Areas (SOA) in Northern Ireland and 2001 Intermediate Zones (IZ) in Scotland. This allows the measure to be aligned with the OfS' POLAR4 measure, which uses the same geography. The Adult HE 2011 quintiles are now used to determine the value of SPQUIN for mature students.

## **'Student premium funding data summary' workbook**

16. The worksheets in the workbook are listed in Table 1.

**Table 1: Worksheets in the 'student premium funding data summary' workbook**

<b>Name</b>	<b>Description</b>
Cover_sheet	This displays the provider's legal name, the provider's UKPRN, and the date on which the workbook was created.
Funding_data_summary	This shows how the individual allocations are calculated using headcounts, full-time equivalents (FTEs) and funding rates.
Rebuild_information	This contains instructions on how to rebuild headcounts using the individualised file.

## **'Student premium funding data summary' individualised file**

17. When working through this document it is necessary to use the individualised file, 'SP20\_XXXXXXXXX\_IND.csv'. This shows the assignment of records to categories by our algorithms and, where relevant, provides the data that has been used to determine their exclusion from the relevant population.
18. The individualised file contains one record per instance (each unique combination of UKPRN, HUSID and NUMHUS) in the 2020-21 academic year.
19. The '2020-21 Post-collection outputs: Student premium method document' ([www.officeforstudents.org.uk/data-and-analysis/post-collection-outputs/hesa-student-alternative-post-collection-outputs/](http://www.officeforstudents.org.uk/data-and-analysis/post-collection-outputs/hesa-student-alternative-post-collection-outputs/) under '2020-21 documentation') describes the methods used to calculate the 2022-23 SP allocations and provides instructions for rebuilding key figures in the workbook from the individualised file.

20. HESA Student Alternative fields used to generate the data in the output are listed in Table 2. Note that this list does not include fields used to derive fields for the HESES20 comparison output which are subsequently used to derive fields described in this document.

**Table 2: List of HESA Student Alternative fields used in the ‘student premium funding data summary’ output**

Entity	Name	Description	Column in individualised file
Course	COURSEID <sup>†</sup>	Course identifier	E
Entry profile	DOMICILE	Country code of permanent home address prior to entry	O
Entry profile	POSTCODE	Postcode of permanent home address prior to entry	AH
Entry profile	QUALENT3	Highest qualification on entry	AI
Instance	COMDATE	Start date of instance	M
Instance	NUMHUS <sup>†</sup>	Student instance identifier	C
Provider	UKPRN <sup>†</sup>	UK Provider Reference Number	A
Qualifications on entry	QUALGRADE01-30	Qualification grade	BD-EM
Qualifications on entry	QUALSBJ01-30	Qualification subject	BE-EN
Qualifications on entry	QUALTYPE01-30	Qualification type	BC-EL
Student	BIRTHDTE	Date of birth	L
Student	HUSID <sup>†</sup>	HESA unique student identifier	B
Student	OWNSTU <sup>†</sup>	Provider’s own identifier for student	D
Student equality	DISABLE	Disability	N
Derived field	XTPPOINTS	UCAS tariff point aggregation	Not shown

<sup>†</sup> These fields are not used in the calculation but are included in the individualised file so that students can be easily identified.



## Description of derived fields

21. Fields derived from HESA Student Alternative data are listed in Table 3.

**Table 3: List of derived fields used in the ‘student premium funding data summary’ output**

Name	Description	Paragraph	Column in individualised file	SP area(s) used in <sup>†</sup>
HESCOMP <sup>†</sup>	Completion status	80	P	Dis/FTSP
HESCOURSEAIM_P1 <sup>†</sup>	General qualification aim of course	23	Q	Dis/FTSP
HESDISALL <sup>†</sup>	Disabled Students’ Allowances	98	R	Dis
HESESFTE_INCLEST <sup>†</sup>	Estimated FTE for 2020-21 year of instance, including any estimate for instance period starting on or after 1 August 2021	116	S	Dis
HESEXCL <sup>†</sup>	Reason(s) for exclusion from the HESES population	111	T	Dis/FTSP
HESINITIATIVES1-3_P1 <sup>†</sup>	Initiatives	27 - 29	U - W	Dis
HESLEVEL <sup>†</sup>	Level of study	75	X	Dis/FTSP
HESMODE <sup>†</sup>	Mode of study	73	Y	Dis/FTSP
HESMODE_P1 <sup>†</sup>	Mode of study	31	Z	Dis
HESMSTUFEE_P1 <sup>†</sup>	Major source of tuition fees	32	AA	Dis
HESPERIODEND_P1 <sup>†</sup>	Instance period end date	34	AB	-
HESPERIODSTART_P1 <sup>†</sup>	Instance period start date	33	AC	-
HESREGBODY1-2_P1 <sup>†</sup>	Regulatory body for health and social care students	35 - 36	AD - AE	Dis/FTSP
HESTTCID_P1 <sup>†</sup>	Teacher training course	48	AF	Dis
HESTYPE <sup>†</sup>	Fundability status	77	AG	Dis/FTSP
SPACCESS (SPACCESS_HESA, SPACCESS_LINKED)	Indicates whether student’s highest qualification on entry is an Access to HE Diploma	48	Not shown	FTSP
SPBACC (SPBACC_HESA, SPBACC_LINKED)	Indicates whether student’s highest qualification on entry is an International Baccalaureate	44	Not shown	FTSP
SPBTEC (SPBTEC_HESA, SPBTEC_LINKED)	Indicates whether student’s highest qualification on entry is a BTEC	46	Not shown	FTSP

Name	Description	Paragraph	Column in individualised file	SP area(s) used in <sup>†</sup>
SPDISPOP	Inclusion in the disabled students' premium population	28	F	Dis
SPDSAALLOC	In receipt of Disabled Students' Allowances (DSA)	29	G	Dis
SPENTQUAL	Grouping of the student's highest qualification on entry	52	Not shown	FTSP
SPENTRYAGE	Student's age on commencement of instance	36	AJ	FTSP
SPEXCL	Reason(s) for exclusion from the full-time successful outcomes population	60	AK	FTSP
SPEXCL1	Indicates exclusion for reasons not related to postcode or qualifications on entry	55	AL	FTSP
SPEXCL2	Indicates that postcode is excluded from mapping	56	AM	FTSP
SPEXCL4, SPEXCL8, SPEXCL16	Indicate exclusion for reason related to qualifications on entry	57, 58, 59	AN - AP	FTSP
SPEXCLPOP	Indicates exclusion for one or more reasons related to qualifications on entry	63	AQ	FTSP
SPGRADECOMB (SPGRADECOMB_HESA, SPGRADECOMB_LINKED)	Categorises students with A-levels, Scottish Highers or Scottish Advanced Highers on entry according to the highest grades for these qualification types	41	AR  (only _HESA shown)	FTSP
SPGRP	Entry qualification risk group	64	I	FTSP
SPHIGHQUAL	Identifies students who have previously obtained their qualification aim, or a higher qualification aim	54	AS	FTSP

Name	Description	Paragraph	Column in individualised file	SP area(s) used in <sup>‡</sup>
SPL3SOURCE	Indicates source of data used to derive fields related to qualifications on entry	51	AT	FTSP
SPLINK	Indicates whether Level 3 qualification found by linking	34	AU	FTSP
SPLINKAGE	Student's age on 31 August 2020	35	AV	FTSP
SPNHS	NHS Bursary group	25	AW	Dis
SPPGDSA	DSA eligibility status for postgraduates	27	AX	Dis
SPPOP	Identifies students in the full-time successful outcomes population	62	J	FTSP
SPQUIN	Participation or qualification quintile of student in full-time successful outcomes population	67	K	FTSP
SPSDALLOC	Self-declared disability, not in receipt of DSA and included in the disabled students' premium population	30	H	Dis
SPTARIFF (SPTARIFF_HESA, SPTARIFF_LINKED)	Tariff score	49	AY  (only_HESA shown)	FTSP
SPTARQUAL	Tariff-bearing qualifications on entry indicator	50	AZ	FTSP
SPUGDSA	DSA eligibility status for undergraduates	26	BA	Dis
SPUGLEVEL	Indicates whether student is aiming for a first degree	53	BB	FTSP

† The algorithms for deriving these fields are given in the 'HESES20 comparison technical document'; the paragraph references refer to this document. HESPERIODSTART\_P1 and HESPERIODEND\_P1 are not used in the calculation but are included in the individualised file so that instance periods can be easily identified.

‡ Dis = Disabled students' premium, FTSP = Premium to support successful student outcomes: full-time.

## Linking to other data sources

22. We link 2020-21 HESA Student Alternative data with any provider's Individualised Learner Record (ILR) data and schools' National Pupil Database (NPD) data from 2002-03 to 2019-20 to find more information on students' Level 3 qualifications. We link by combinations of first name(s), surname, date of birth, gender and (where available) home postcode and prior

educational establishment. Spelling errors and other typographical errors (e.g. in dates) are taken into account.

23. We derive SPGRADECOMB, SPBACC, SPBTEC, SPACCESS, SPTARIFF and SPENTQUAL using either information from the Continuity Register or information from the ILR and NPD data. For data protection reasons these fields and others used to derive them (SPGRADECOMB\_LINKED, SPBACC\_HESA, SPBACC\_LINKED, SPBTEC\_HESA, SPBTEC\_LINKED, SPACCESS\_HESA, SPACCESS\_LINKED, SPTARIFF\_LINKED) are not displayed in the individualised file (SP20\_XXXXXXXXX\_IND.csv). The Department for Education does not accept responsibility for any inferences or conclusions derived from National Pupil Database (NPD) data by third parties.
24. We use the following process to determine whether or not to use the information in the Continuity Register:
- a. Where QUALENT3 indicates that the student does not hold a higher education qualification, we determine the student's grade combination by comparing the value derived from information in the Continuity Register (SPGRADECOMB\_HESA) with the value derived from the ILR and NPD data (SPGRADECOMB\_LINKED). We use the value derived from the information in the Continuity Register if it is equal to or 'higher' than the value derived from the ILR and NPD data, otherwise we use the value derived from the ILR and NPD data.
  - b. Where (SPGRADECOMB\_HESA = OTHER and SPGRADECOMB\_LINKED = OTHER), we calculate the tariff score using information from the Continuity Register (SPTARIFF\_HESA) and the tariff score using the ILR and NPD data (SPTARIFF\_LINKED). We use these values and other information to determine whether or not the student's highest qualification on entry is an International Baccalaureate. If we determine that they hold an International Baccalaureate using one source but not the other, we use that source. If we find an International Baccalaureate in both sources, we use the information in the Continuity Register.
  - c. Where we do not find an International Baccalaureate in either source, we use the values of SPTARIFF\_HESA and SPTARIFF\_LINKED and other information to determine whether or not the student's highest qualification on entry is a BTEC. If we determine that they hold a BTEC using one source but not the other, we use that source. If we find a BTEC in both sources, we use the information in the Continuity Register.
  - d. Where we do not find a BTEC in either source, we use both sources to determine whether or not the student's highest qualification on entry is an Access to HE Diploma. If we determine that they hold an Access to HE Diploma using one source but not the other, we use that source. If we find an Access to HE Diploma in both sources, we use the information in the Continuity Register.
  - e. Where we do not find an Access to HE Diploma in either source, we determine the student's tariff score (SPTARIFF) using the values of SPTARIFF\_HESA and SPTARIFF\_LINKED. We use the value of SPTARIFF\_HESA if it is greater than or equal to the value of SPTARIFF\_LINKED, otherwise we use the value of SPTARIFF\_LINKED.

## Disabled students' premium

### SPNHS

25. This field identifies the group of students eligible for an NHS Bursary, and the group of students eligible for a Social Work Bursary.

Value	Description	Definition
NHS1	Course with NHS Bursary	(HESCOURSEAIM_P1 = H16, H62, I16, J26, M16, M26, M86 and (((HESLEVEL = UG and COMDATE < 1 August 2017) or (HESLEVEL = PGT_UGF and COMDATE < 1 August 2018)) and ((HESREGBODY1_P1 = 06, 42, 44, 52, 55, 61, 62, 63, 64, 65 or HESREGBODY2_P1 = 06, 42, 44, 52, 55, 61, 62, 63, 64, 65) or ((HESREGBODY1_P1 = 46, 47, 48, 50, 56, 57 or HESREGBODY2_P1 = 46, 47, 48, 50, 56, 57) and HESTYPE = HOMENF))) or (HESLEVEL = UG and COMDATE < 1 August 2018 and (HESREGBODY1_P1 = 36, 37 or HESREGBODY2_P1 = 36, 37) and HESTYPE = HOMENF)))
NHS2	Course with Social Work Bursary	HESLEVEL = PGT_ML, PGT_OTH and (HESREGBODY1_P1 = 16 or HESREGBODY2_P1 = 16) and HESCOURSEAIM_P1 ≠ M76
OTHER	Otherwise	Otherwise

## SPUGDSA

26. This field indicates the Disabled Students' Allowances (DSA) eligibility status for undergraduates, including students on postgraduate Initial Teacher Training courses.

Value	Description	Definition
1	Undergraduate eligible for DSA	DOMICILE = XF, XG, XH, XI, XK and (HESLEVEL = UG or (HESLEVEL = PGT_ML, PGT_OTH, PGT_UGF and HESTTCID_P1 = 1, 2, Q)) and SPNHS ≠ NHS1 and HESCOURSEAIM_P1 ≠ C90, H90, I90, J90, M90 and (HESINITIATIVES1_P1 ≠ K and HESINITIATIVES2_P1 ≠ K and HESINITIATIVES3_P1 ≠ K) and (HESMODE = FTS or (HESMODE = SWOUT and HESMODE_P1 ≠ 23) or (HESMODE = PT and ((COMDATE < 1 September 2012 and HESESFTE_INCLEST ≥ 50) or (COMDATE ≥ 1 September 2012 and HESESFTE_INCLEST ≥ 25))))
0	Otherwise	Otherwise

## SPPGDSA

27. This field indicates the DSA eligibility status for postgraduates, excluding students on postgraduate Initial Teacher Training courses.

Value	Description	Definition
1	Postgraduate eligible for DSA	DOMICILE = XF, XG, XH, XI, XK and ((HESLEVEL = PGT_ML, PGT_OTH, PGT_UGF and HESTTCID_P1 ≠ 1, 2, Q) or HESLEVEL = PGR) and HESMSTUFEE_P1 ≠ 11, 12, 13, 14, 15, 17, 18, 19 and SPNHS ≠ NHS1, NHS2 and HESCOURSEAIM_P1 ≠ D90, E90, L90, M90 and (HESINITIATIVES1_P1 ≠ K and HESINITIATIVES2_P1 ≠ K and HESINITIATIVES3_P1 ≠ K) and (HESMODE = FTS or (HESMODE = SWOUT and HESMODE_P1 ≠ 23) or (HESMODE = PT and ((COMDATE < 1 September 2012 and HESESFTE_INCLEST ≥ 50) or (COMDATE ≥ 1 September 2012 and HESESFTE_INCLEST ≥ 25))))
0	Otherwise	Otherwise

## SPDISPOP

28. This field indicates whether the student is included in the disabled students' premium population.

Value	Description	Definition
1	Included in the disabled students' premium population	HESCOMP = 4 and HESEXCL = 0 and HESTYPE = HOMEF, HOMENF and (SPUGDSA = 1 or SPPGDSA = 1)
0	Otherwise	Otherwise

## SPDSAALLOC

29. This field indicates whether the student is in receipt of DSA.

Value	Description	Definition
1	In receipt of DSA	SPDISPOP = 1 and HESDISALL = 1
0	Otherwise	Otherwise

## SPSDALLOC

30. This field indicates whether the student has self-declared a disability or health problem and is not in receipt of DSA.

Value	Description	Definition
1	Self-declared disability or health problem and not in receipt of DSA	SPDISPOP = 1 and SPDSAALLOC $\neq$ 1 and DISABLE $\neq$ 00
0	Otherwise	Otherwise

## Premium to support successful student outcomes: full-time

### QUALTYPE01-30

31. The qualification type (QUALTYPE) of each of the student's qualifications on entry<sup>1</sup> from the Continuity Register.

### QUALSBJ01-30

32. The qualification subject (QUALSBJ) of each of the student's qualifications on entry<sup>1</sup> from the Continuity Register.

### QUALGRADE01-30

33. The qualification grade (QUALGRADE) of each of the student's qualifications on entry<sup>1</sup> from the Continuity Register.

### SPLINK

34. This field indicates whether a Level 3 qualification for the student has been found by linking to ILR or NPD data. It is only calculated when (QUALENT3 ≠ DUK, DZZ, D80, M41, M44, M71, M80, M90, MUK, MZZ, H71, M2X, H11, HUK, HZZ, JUK, H80, J10, J20, J30, J48, J80, C20, C30, C44, C80, C90, J49).

Value	Description	Definition
1	Level 3 qualification found	Student has been linked to at least one other data source
0	No Level 3 qualifications found	Otherwise

### SPLINKAGE

35. This field indicates the student's age in years on 31 August 2020. This is the number of full years between BIRTHDTE and 31 August 2020. Where BIRTHDTE is missing, SPLINKAGE will be set to zero.

### SPENTRYAGE

36. This field contains the student's age at the commencement of the instance. This is the number of full years between BIRTHDTE and COMDATE. Where BIRTHDTE or COMDATE are missing, SPENTRYAGE will be set to zero.

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<sup>1</sup> For space reasons, only 30 occurrences are included in the individualised file. All occurrences are considered in our algorithms.



## **SPGRADECOMB\_HESA, SPSGRADECOMB\_LINKED**

37. The SPSGRADECOMB\_HESA field uses data in the Continuity Register to categorise students with A-levels, Scottish Highers or Scottish Advanced Highers on entry (QUALTYPE = A, RE, RN, RW, DA, D1, V, V2, 9U, AN, H, AH) into groups according to their highest grades for these qualification types. The SPSGRADECOMB\_LINKED field uses ILR and/or NPD data to categorise students with A-levels on entry (QUALTYPE = A, RE, RN, RW, DA, D1, V, V2, 9U, AN) into groups according to their highest grades for these qualification types. Both fields are only calculated when (QUALENT3 ≠ DUK, DZZ, D80, M41, M44, M71, M80, M90, MUK, MZZ, H71, M2X, H11, HUK, HZZ, JUK, H80, J10, J20, J30, J48, J80, C20, C30, C44, C80, C90, J49).
38. Where qualifications for the student are in identical subjects (QUALSBJ), we identify the qualification type (QUALTYPE) which results in the most tariff points and discard duplicates with fewer tariff points. The exception to this is for students with Scottish Highers (QUALTYPE = H). If such a student also has an Advanced Higher (QUALTYPE = AH) in the same subject area, de-duplication could result in the student being assigned to the wrong grade combination category, as it would usually retain the Advanced Higher in preference to the Higher, even if the Advanced Higher has a lower grade. To overcome this, we look at students' Scottish Highers separately to see if they qualify for a particular grade combination category, regardless of their Advanced Highers.
39. Before QUALGRADE entries are processed, hashes and lower-case letters are removed. These characters do not affect the assignment of tariff points and can therefore be disregarded when deriving grade combinations (for example QUALGRADE = Ad becomes A, A#B# becomes AB). For the same reason, numbers and asterisks are stripped from QUALGRADE entries for Scottish Advanced Highers and Scottish Highers (QUALTYPE = AH, H), and 'NC', 'P' and 'Q' are stripped from QUALGRADE entries (where QUALGRADE = A\*NC, A\*P, ANC, AP, BNC, BP, CNC, CP, DNC, DP, DQ, ENC, EP) for reformed English A-levels (QUALTYPE = RE). Scottish Highers (QUALTYPE = H) where (QUALGRADE = P) are converted to (QUALGRADE = C).
40. Where a double award has been taken (QUALTYPE = DA, D1, V2), each of the two grades is treated separately (i.e. QUALTYPE = A, V). For example, if QUALTYPE = DA and QUALGRADE = AB, the student will be treated as though they were awarded one A grade and one B grade at A-level. Likewise where an A-level and an AS-level are treated as a combined award (QUALTYPE = 9U, AN), each of the two grades is treated separately as an A-level and AS-level respectively. For example, if QUALTYPE = AN and QUALGRADE = BC the student will be treated as though they were awarded a B grade at A-level and a C grade at AS-level.

Value	Description	Definition
ACC_BBC+	Student's highest A-level grades are at least ACC or BBC	Student has at least three QUALTYPE = A, RE, RN, RW, V qualifications in distinct subjects (except when derived from double awards), for which the three highest QUALGRADE entries are: (A*, A*, A*); (A*, A*, A); (A*, A, A); (A, A, A); (A*, A*, B); (A*, A, B); (A, A, B); (A*, A*, C); (A*, A, C); (A, A, C); (A*, B, B); (A, B, B); (A*, B, C); (A, B, C); (B, B, B); (A*, C, C); (A, C, C); (B, B, C)
<b>SPGRADECOMB_HESA only:</b> ACC_BBC+	Student's highest Scottish Advanced Higher grades are at least ACC or BBC	Student has at least three QUALTYPE = AH qualifications in distinct subjects, for which the three highest QUALGRADE entries are: (A, A, A); (A, A, B); (A, A, C); (A, B, B); (A, B, C); (B, B, B); (A, C, C); (B, B, C)
<b>SPGRADECOMB_HESA only:</b> ACC_BBC+	Student's highest Scottish Higher grades are at least AACCC or ABCCC or BBBBC or BBBC	Student has at least five QUALTYPE = H qualifications in distinct subjects, for which the five highest QUALGRADE entries are: (A, A, A, A, A); (A, A, A, A, B); (A, A, A, B, B); (A, A, A, A, C); (A, B, B, B, B); (A, A, B, B, C); (A, A, B, B, B); (A, A, A, A, D); (A, A, A, B, C); (A, A, A, C, C); (A, A, A, B, D); (A, A, B, C, C); (A, B, B, B, C); (A, B, B, C, C); (B, B, B, B, B); (A, A, C, C, C); (A, B, C, C, C); (B, B, B, B, C); (B, B, B, C, C)
BCC_CCC	Student's highest A-level grades are BCC or CCC	Student has at least three QUALTYPE = A, RE, RN, RW, V qualifications in distinct subjects (except when derived from double awards), for which the three highest QUALGRADE entries are: (B, C, C); (C, C, C)
<b>SPGRADECOMB_HESA only:</b> BCC_CCC	Student's highest Scottish Advanced Higher grades are BCC or CCC	Student has at least three QUALTYPE = AH qualifications in distinct subjects, for which the three highest QUALGRADE entries are: (B, C, C); (C, C, C)
<b>SPGRADECOMB_HESA only:</b> BCC_CCC	Student's highest Scottish Higher grades are ACCCC or BBCCC or BCCCC or CCCCC	Student has at least five QUALTYPE = H qualifications in distinct subjects, for which the five highest QUALGRADE entries are: (A,C,C,C,C); (B,B,C,C,C); (B,C,C,C,C); (C,C,C,C,C)
OTHER	Otherwise	Otherwise

## SPGRADECOMB

41. This field contains the value of either SPGRADECOMB\_HESA or SPGRADECOMB\_LINKED.

Value	Definition
= SPGRADECOMB_HESA	(SPGRADECOMB_HESA = ACC_BBC+ and SPGRADECOMB_LINKED = ACC_BBC+, BCC_CCC, OTHER) or (SPGRADECOMB_HESA = BCC_CCC and SPGRADECOMB_LINKED = BCC_CCC, OTHER)
= SPGRADECOMB_LINKED	(SPGRADECOMB_LINKED = ACC_BBC+ and SPGRADECOMB_HESA = BCC_CCC, OTHER) or (SPGRADECOMB_LINKED = BCC_CCC and SPGRADECOMB_HESA = OTHER)
OTHER	SPGRADECOMB_HESA = OTHER and SPGRADECOMB_LINKED = OTHER

## SPTARIFF\_HESA, SPTARIFF\_LINKED

42. These fields contain the tariff score for the student and are calculated using the method that is used to calculate the HESA Student Alternative derived field XTPOINTS for 2020-21. SPTARIFF\_HESA is calculated using data from the Continuity Register. SPTARIFF\_LINKED is calculated using ILR and/or NPD data. They are only calculated when (SPGRADECOMB = OTHER). The full specification for XTPOINTS in 2020-21 can be found on HESA's website at: <https://www.hesa.ac.uk/collection/c20054/derived/contents>.

## SPBACC\_HESA, SPBACC\_LINKED

43. These fields indicate whether the student's highest qualification on entry is an International Baccalaureate. SPBACC\_HESA is calculated using data from the Continuity Register. SPBACC\_LINKED is calculated using ILR and/or NPD data. They are only calculated when (SPGRADECOMB = OTHER).

Value	Description	Definition
1	International Baccalaureate	SPGRADECOMB = OTHER and ((QUALTYPE = IE qualification with QUALGRADE ≥ 24) or (tariff points <sup>†</sup> from QUALTYPE = IB, IC, ID, IS, IX qualifications > (0.5 × SPTARIFF_X*)) or (only QUALTYPE = IB, IC, ID, IE, IS, IX qualifications and ((QUALTYPE = IE qualification with 1 ≤ QUALGRADE ≤ 23) or tariff points <sup>†</sup> > 0)))
BLANK	Otherwise	Otherwise

<sup>†</sup> Total equals tariff points from QUALTYPE = IB, IS qualifications, with duplicates removed by subject, plus tariff points from best QUALTYPE = IC, ID, IX qualifications.

\* SPTARIFF\_X is equal to SPTARIFF\_HESA for SPBACC\_HESA, and is equal to SPTARIFF\_LINKED for SPBACC\_LINKED.

## SPBACC

44. This field contains the value of either SPBACC\_HESA or SPBACC\_LINKED.

Value	Description	Definition
1	International Baccalaureate	SPBACC_HESA = 1 or SPBACC_LINKED = 1
0	Not an International Baccalaureate	SPGRADECOMB = OTHER and not above
BLANK	Not calculated	SPGRADECOMB ≠ OTHER

## SPBTEC\_HESA, SPBTEC\_LINKED

45. These fields indicate whether the student's highest qualification on entry is a BTEC. SPBTEC\_HESA is calculated using data from the Continuity Register. SPBTEC\_LINKED is calculated using ILR and/or NPD data. They are only calculated when (SPBACC = 0).

Value	Description	Definition
1	BTEC	SPBACC = 0 and ((tariff points from QUALTYPE = 0A, 0B, 1A, 1B, 1C, 2B, 2C, 3B, 3C, 4B, 4C, 5B, 5C, 6B, 6C, 7B, 7C, 7T, 7U, 7V, 7Z, 8B, 8C, 8I, 9B, 9C, B, B0, B1, B2, B3, B4, B5, B6, B7, BA, BB, BC, BD, BE, BF, BI, BQ, BR, BT, BU, BV, BW, BX, BY, BZ, D2, D4, D5, D9, DX, DY, DZ, EE, EF, EY, FJ, FL, FN, FP, FQ, FU, FV, FW, FX, FZ, G1, G3, G4, G5, G9, GJ, GK, PJ, PK, PM, PN, PX, PY, PZ, Q1, Q2, Q3, Q4, Q5, Q9, QA, QB, QC, QD, QE, QF, QH, QJ, QK, QL, QM, QX ≥ 48) or (only QUALTYPE = 0A, 0B, 1A, 1B, 1C, 2B, 2C, 3B, 3C, 4B, 4C, 5B, 5C, 6B, 6C, 7B, 7C, 7T, 7U, 7V, 7Z, 8B, 8C, 8I, 9B, 9C, B, B0, B1, B2, B3, B4, B5, B6, B7, BA, BB, BC, BD, BE, BF, BI, BQ, BR, BT, BU, BV, BW, BX, BY, BZ, D2, D4, D5, D9, DX, DY, DZ, EE, EF, EY, FJ, FL, FN, FP, FQ, FU, FV, FW, FX, FZ, G1, G3, G4, G5, G9, GJ, GK, PJ, PK, PM, PN, PX, PY, PZ, Q1, Q2, Q3, Q4, Q5, Q9, QA, QB, QC, QD, QE, QF, QH, QJ, QK, QL, QM, QX qualifications and SPTARIFF_X* > 0))
BLANK	Otherwise	Otherwise

\* SPTARIFF\_X is equal to SPTARIFF\_HESA for SPBTEC\_HESA, and is equal to SPTARIFF\_LINKED for SPBTEC\_LINKED.

## SPBTEC

46. This field contains the value of either SPBTEC\_HESA or SPBTEC\_LINKED.

Value	Description	Definition
1	BTEC	SPBTEC_HESA = 1 or SPBTEC_LINKED = 1
0	Not a BTEC	SPBACC = 0 and not above
BLANK	Not calculated	SPBACC ≠ 0

## SPACCESS\_HESA, SPACCESS\_LINKED

47. These fields indicate whether the student's highest qualification on entry is an Access to HE Diploma. SPACCESS\_HESA is calculated using data from the Continuity Register. SPACCESS\_LINKED is calculated using ILR and/or NPD data. They are only calculated when (SPBTEC = 0).

Value	Description	Definition
1	Access to HE Diploma	SPBTEC = 0 and (at least one QUALTYPE = LD, Y1, Y2, Y3, Y4, Y5, Y6, Y7, Y8, Y9, YA, YB, YC, YD, YF qualification where QUALGRADE is at least a pass grade)
BLANK	Otherwise	Otherwise

## SPACCESS

48. This field contains the value of either SPACCESS\_HESA or SPACCESS\_LINKED.

Value	Description	Definition
1	Access to HE Diploma	SPACCESS_HESA = 1 or SPACCESS_LINKED = 1
0	Not an Access to HE Diploma	SPBTEC = 0 and not above
BLANK	Not calculated	SPBTEC ≠ 0

## SPTARIFF

49. This field contains the value of either SPTARIFF\_HESA or SPTARIFF\_LINKED. It is only calculated when (SPACCESS = 0).

Value	Definition
= SPTARIFF_HESA	SPACCESS = 0 and (SPTARIFF_HESA ≥ SPTARIFF_LINKED)
= SPTARIFF_LINKED	SPACCESS = 0 and (SPTARIFF_LINKED > SPTARIFF_HESA)
BLANK	SPACCESS ≠ 0

## SPTARQUAL

50. This field indicates whether the student has any qualifications in the Continuity Register which could contribute to the tariff calculation.

Value	Description	Definition
TAR	Student has at least one qualification type in the Continuity Register which could contribute to the tariff calculation	At least one QUALTYPEXX is in the following list: 0A, 0B, 1A, 1B, 1C, 1D, 1E, 1F, 1G, 1H, 1J, 1K, 1L, 1M, 1N, 1P, 1Q, 1R, 1S, 1T, 1U, 1V, 1W, 1X, 1Y, 1Z, 2A, 2B, 2C, 2D, 2E, 2F, 2G, 2H, 2I, 2J, 2K, 2L, 2M, 2N, 2P, 2Q, 2R, 2S, 2T, 2U, 2V, 2W, 2X, 2Y, 2Z, 3A, 3B, 3C, 3D, 3E, 3F, 3G, 3H, 3I, 3J, 3K, 3L, 3M, 3N, 3P, 3Q, 3R, 3S, 3T, 3U, 3V, 3W, 3X, 3Y, 3Z, 4A, 4B, 4C, 4D, 4E, 4F, 4G, 4H, 4I, 4J, 4K, 4L, 4M, 4N, 4P, 4Q, 4R, 4S, 4T, 5B, 5C, 5D, 5E, 5F, 5G, 5H, 5I, 5J, 5K, 5L, 5M, 5N, 5P, 5Q, 5R, 5S, 5T, 5U, 5V, 5W, 6B, 6C, 6G, 6H, 6I, 6J, 6K, 6L, 6M, 6N, 6P, 6Q, 6R, 6S, 6T, 6U, 6V, 6X, 6Y, 6Z, 7A, 7B, 7C, 7D, 7E, 7F, 7G, 7H, 7I, 7J, 7K, 7L, 7M, 7N, 7P, 7Q, 7R, 7S, 7T, 7U, 7V, 7W, 7X, 7Y, 7Z, 8A, 8B, 8C, 8D, 8F, 8G, 8H, 8I, 8J, 8M, 8N, 8P, 8Q, 8R, 8S, 8T, 8U, 8V, 8W, 8Z, 9A, 9B, 9C, 9E, 9F, 9G, 9H, 9J, 9K, 9L, 9M, 9N, 9P, 9R, 9S, 9T, 9U, 9V, 9W, 9X, 9Y, 9Z, A, A1, A3, A4, A5, A6, A7, A8, A9, AD, AE, AF, AG, AH, AJ, AK, AL, AM, AN, AP, AR, AS, AT, AU, AW, AY, AZ, B, B0, B1, B2, B3, B4, B5, B6, B7, BA, BB, BC, BD, BE, BF, BI, BQ, BR, BT, BU, BV, BW, BX, BY, BZ, C1, C2, C3, C4, C5, C6, C7, C8, C9, CB, CC, CD, CE, CF, CH, CJ, CK, CL, CM, CN, CO, CP, CR, CT, CU, CV, CW, CX,

Value	Description	Definition
		CY, CZ, D1, D2, D4, D5, D6, D7, D8, D9, DA, DB, DC, DD, DE, DF, DG, DH, DI, DJ, DK, DL, DM, DN, DO, DP, DQ, DR, DS, DT, DU, DV, DW, DX, DY, DZ, E5, E6, E7, E8, E9, EA, EC, EE, EF, EG, EH, EI, EJ, EK, EL, EM, EP, EQ, ER, ET, EU, EV, EX, EY, EZ, F5, F6, F7, F8, F9, FA, FD, FF, FG, FH, FM, FR, FS, FT, FY, G6, G7, G8, GH, GI, GT, GU, GV, GW, GX, GY, GZ, H, H4, H5, H6, H7, H8, H9, HB, HC, HD, HE, HG, HH, HJ, HK, HL, HM, HN, HP, HQ, HR, HT, HU, HV, HW, HX, HY, HZ, IB, IC, ID, IH, IJ, IL, IM, IN, IO, IS, IX, IY, IZ, J1, J2, J3, J4, J5, J6, J7, J8, J9, JA, JB, JC, JD, JE, JF, JG, JH, JJ, JK, JL, JM, JN, JP, JQ, JR, JS, JT, JU, JV, JW, JX, JY, JZ, K3, K5, K6, K7, K8, K9, KA, KB, KC, KD, KE, KF, KG, KH, KI, KJ, KK, KL, KM, KN, KP, KQ, KR, KS, KT, KU, KV, KW, KX, KY, KZ, L1, L2, L3, L4, L5, L6, L7, L8, L9, LA, LB, LC, LF, LG, LH, LI, LJ, LK, LL, LM, LN, LP, LQ, LR, LS, LT, LU, LV, LW, LX, LY, LZ, M1, M2, M3, M4, M6, M7, M8, MB, MC, MD, ME, MF, MG, MH, MJ, MK, ML, MM, MN, MP, MQ, MR, MV, MW, MX, MY, MZ, N0, N1, N2, N3, N4, N5, N6, N7, N8, N9, NA, NB, NE, NF, NG, NH, NI, NJ, NK, NL, NM, NN, NP, NQ, NR, NS, NT, NV, NW, NX, NY, NZ, O1, O2, O3, O4, O5, O6, O7, O8, O9, OA, OB, OC, OD, OE, OF, OH, OJ, OK, OL, OM, ON, OP, OQ, OR, OS, OT, OV, OW, OX, OY, OZ, P0, P1, P2, P3, P4, P5, P6, P7, P8, P9, PB, PC, PD, PG, PI, PJ, PK, PL, PM, PN, PP, PR, PV, PW, PX, PY, PZ, Q1, Q2, Q3, Q4, Q5, QJ, QK, QL, QM, QP, QQ, QR, QS, QT, QU, QV, QW, QX, QY, QZ, R1, R2, R3, R4, R5, R6, R7, R8, RA, RB, RC, RD, RE, RF, RG, RH, RJ, RK, RL, RM, RN, RP, RQ, RR, RS, RT, RU, RV, RW, RZ, S1, S2, S3, S9, SD, SE, SF, SH, SI, SJ, SK, SM, SQ, SR, SU, SV, SX, SY, SZ, T1, T2, T3, T4, T5, T6, T7, T8, T9, TA, TE, TF, TG, TH, TJ, TK, TL, TP, TQ, TR, TS, TT, TU, TV, TW, TX, TY, TZ, U1, U2, U3, U4, U5, U6, U7, U8, U9, UA, UB, UC, UE, UF, UG, UH, UK, UL, UM, UN, UP, UQ, UR, US, UT, UU, UV, UW, UX, UY, UZ, V, V1, V2, V3, V4, V5, V6, V7, V8, V9, VA, VB, VC, VD, VE, VF, VG, VH, VI, VJ, VK, VL, VM, VN, VP, VQ, VR, VS, VT, VU, VV, VW, VX, VY, VZ, W3, W5, W8, WB, WE, WF, WG, WJ, WK, WL, WM, WN, WP, WQ, WS, WT, WV, WW, WX, WY, X2, X3, X4, X5, X6, X7, X8, X9, XA, XB, XC, XD, XE, XF, XG, Y1, Y2, Y3, Y4, Y5, Y6, Y7, Y8, YA, YB, YC, YD, YE, YK, YL
NOTAR	None of the student's qualification types in the Continuity Register could contribute to the tariff calculation	QUALTYPE01 ≠ BLANK and not above
EMPTY	Student has nothing in the Continuity Register	Otherwise

## SPL3SOURCE

51. This field indicates the source of the data on qualifications on entry used to derive SPGRADECOMB, SPBACC, SPBTEC, SPACCESS and SPTARIFF.

Value	Description	Definition
HESA	Continuity Register data	(SPGRADECOMB_HESA = ACC_BBC+ and SPGRADECOMB_LINKED = ACC_BBC+, BCC_CCC, OTHER) or (SPGRADECOMB_HESA = BCC_CCC and SPGRADECOMB_LINKED = BCC_CCC, OTHER) or SPBACC_HESA = 1 or SPBTEC_HESA = 1 or SPACCESS_HESA = 1 or (SPTARIFF = SPTARIFF_HESA and SPTARIFF ≠ 0) or (SPTARIFF = 0 and (SPTARQUAL ≠ EMPTY or (SPTARQUAL = EMPTY and SPLINK ≠ 1)))
ILRNP	ILR and/or NPD data	(SPGRADECOMB_LINKED = ACC_BBC+ and SPGRADECOMB_HESA = BCC_CCC, OTHER) or (SPGRADECOMB_LINKED = BCC_CCC and SPGRADECOMB_HESA = OTHER) or (SPBACC_LINKED = 1 and SPBACC_HESA ≠ 1) or (SPBTEC_LINKED = 1 and SPBTEC_HESA ≠ 1) or (SPACCESS_LINKED = 1 and SPACCESS_HESA ≠ 1) or (SPTARIFF = SPTARIFF_LINKED and SPTARIFF ≠ SPTARIFF_HESA) or (SPTARIFF = 0 and SPTARQUAL = EMPTY and SPLINK = 1)
HESA	Student has a higher education qualification	QUALENT3 = DUK, DZZ, D80, M41, M44, M71, M80, M90, MUK, MZZ, H71, M2X, H11, HUK, HZZ, JUK, H80, J10, J20, J30, J48, J80, C20, C30, C44, C80, C90, J49



## SPENTQUAL

52. This field contains the grouping of the student's highest qualification on entry.

Value	Description	Definition
HEPG	Higher education: Postgraduate	QUALENT3 = DUK, DZZ, D80, M41, M44, M71, M80, M90, MUK, MZZ, H71
HEFD	Higher education: First degree	QUALENT3 = M2X, H11, HUK, HZZ, JUK
HEOUG	Higher education: Other undergraduate	QUALENT3 = H80, J10, J20, J30, J48, J80, C20, C30, C44, C80, C90
FOU	Foundation course	QUALENT3 = J49
L3_COMB	Combination of A-levels, or combination of Scottish Advanced Highers, or combination of Scottish Highers	SPGRADECOMB = ACC_BBC+, BCC_CCC
BACC	International Baccalaureate	(QUALENT3 = P62, P63 or SPBACC = 1) and not above
BTEC	BTEC	SPBTEC = 1 and not above
ACCESS	Access course	(QUALENT3 = X00, X01 or SPACCESS = 1) and not above
OTH3_TAR	Other Level 3 qualifications (generally expected to attract tariff points)	QUALENT3 = P47, P50, P54, P64, P65, P68, P91, P93, P94 or SPLINK = 1 or SPTARQUAL = TAR) and not above
OTH3_NOTAR	Other Level 3 qualifications (may not attract tariff points)	QUALENT3 = P41, P42, P46, P51, P53, P80, P92 and not above
NONE	No formal qualifications	QUALENT3 = X02, X05 and not above
OTHER	Other qualifications (unknown level, or below Level 3)	QUALENT3 = Q51, Q52, Q80, R51, R52, R80, X04 and not above
UNKNOWN	Unknown qualifications	Otherwise

## SPUGLEVEL

53. This field splits undergraduate students into those aiming for a first degree and other undergraduates.

Value	Description	Definition
FIRST	Undergraduate aiming for a first degree	HESLEVEL = UG and HESCOURSEAIM_P1 = H00, H11, H12, H16, H18, H22, H23, I00, I11, I12, I16, M22, M26, M28
OUG	Other undergraduate	HESLEVEL = UG and not above
OTHER	Not an undergraduate	Otherwise

## SPHIGHQUAL

54. This field indicates whether or not the student has previously obtained their qualification aim, or a higher qualification aim.

Value	Description	Definition
1	Student has not previously obtained their qualification aim, or a higher qualification aim	((HESCOURSEAIM_P1 = M22, M26, M28 or HESCOURSEAIM_P1* = H) and QVALENT3 <sup>†</sup> = J, C and QVALENT3 ≠ C90) or (HESCOURSEAIM_P1 = I00, I11, I12, I16 and QVALENT3 <sup>†</sup> = J, C and QVALENT3 ≠ JUK, C90) or (HESCOURSEAIM_P1* = I, J and HESCOURSEAIM_P1 ≠ I00, I11, I12, I16 and QVALENT3 <sup>†</sup> = C and QVALENT3 ≠ C90) or ((HESCOURSEAIM_P1 = M22, M26, M28 or HESCOURSEAIM_P1* = H, I, J, C) and HESCOURSEAIM_P1 ≠ H90, I90, J90, C90 and QVALENT3 = C90) or (QVALENT3 <sup>†</sup> = P, Q, R, X and QVALENT3 ≠ X06)
0	Otherwise	Otherwise

\* The first character of HESCOURSEAIM\_P1 is used.

† The first character of QVALENT3 is used.

## SPEXCL1

55. This SPPOP exclusion flag indicates that the student is excluded from the full-time successful outcomes population for reasons other than those related to their home postcode (POSTCODE) or data on their qualifications on entry.

Value	Description	Definition
0	Student not excluded for reason not related to home postcode or data on qualifications on entry	HESEXCL = 0 and HESCOMP = 4 and DOMICILE = XF, XG, XH, XI, XK and HESMODE = FTS, SWOUT and HESLEVEL = UG and (HESTYPE = HOMEF or (HESTYPE = HOMENF and HESCOURSEAIM_P1 = H16, I16, J26, M26 and (((HESREGBODY1_P1 = 06, 42, 44, 46, 47, 48, 50, 52, 55, 56, 57, 61, 62, 63, 64, 65 or HESREGBODY2_P1 = 06, 42, 44, 46, 47, 48, 50, 52, 55, 56, 57, 61, 62, 63, 64, 65) and COMDATE ≥ 1 August 2015 and COMDATE < 1 August 2017) or ((HESREGBODY1_P1 = 36, 37 or HESREGBODY2_P1 = 36, 37) and COMDATE ≥ 1 August 2016 and COMDATE < 1 August 2018))))
1	Student excluded for reason not related to home postcode or data on qualifications on entry	Otherwise

## SPEXCL2

56. This SPPOP exclusion flag indicates whether the student's home postcode (POSTCODE) is excluded from the mapping to 2011 Middle Layer Super Output Areas (MSOA) in England and Wales, 2011 Super Output Areas (SOA) in Northern Ireland and 2001 Intermediate Zones (IZ) in Scotland. Postcodes are excluded for the following reasons: (1) analysis indicates they are unsuitable for inclusion in our area-based measures (typically postcodes of institutions such as boarding schools); (2) no link to an MSOA, SOA or Intermediate Zone is possible; (3) they were terminated and reused in a different MSOA, SOA or Intermediate Zone, which is associated with a different quintile from the one previously published.

Value	Description
1	Student's home postcode excluded from mapping
0	Otherwise

## SPEXCL4

57. This SPPOP exclusion flag indicates that the student is recorded as having an 'Other qualification at Level 3' (QUALENT3 = P80), but no Level 3 qualifications were found by linking to ILR or NPD data.

Value	Description	Definition
1	Student has (QUALENT3 = P80) but no Level 3 qualifications were found by linking to ILR or NPD data	QUALENT3 = P80 and SPLINK ≠ 1
0	Otherwise	Otherwise

## SPEXCL8

58. This SPPOP exclusion flag indicates that we expect the ILR and/or NPD data used (if SPL3SOURCE = ILRNPD) to determine the student's highest qualification on entry grouping (SPENTQUAL) and tariff score (SPTARIFF) to be incomplete, because the student was at least 36 years old on 31 August 2020 and we can only link to data from 2002-03 onwards.

Value	Description	Definition
1	Student's ILR and/or NPD data used to determine SPENTQUAL and SPTARIFF is expected to be incomplete	SPLINKAGE ≥ 36 and SPL3SOURCE = ILRNPD and (SPENTQUAL = L3_COMB, BTEC, OTH3_TAR or (SPENTQUAL = BACC and QUALENT3 ≠ P62, P63) or (SPENTQUAL = ACCESS and QUALENT3 ≠ X00, X01))
0	Otherwise	Otherwise

## SPEXCL16

59. This SPPOP exclusion flag indicates that the student has at least one qualification in the Continuity Register where the grade has not been recorded (QUALGRADE = BLANK), so we expect their tariff score (SPTARIFF) to be incorrect. We use SPL3SOURCE to ensure that this exclusion is only applied to students where the Continuity Register has the most complete information on their qualifications on entry.

Value	Description	Definition
1	Student has at least one qualification in the Continuity Register where the grade has not been recorded	SPL3SOURCE = HESA and SPENTQUAL = OTH3_TAR, OTH3_NOTAR and (QUALTYPEXX ≠ BLANK and QUALGRADEXX = BLANK)*
0	Otherwise	Otherwise

\* This condition applies to any pair of QUALTYPE and QUALGRADE for the student

## SPEXCL

60. The value of this field can be used to determine the value of each of the SPPOP exclusion flags (SPEXCL1-16).

Value	Description	Definition
1	Student excluded for reason not related to home postcode or data on qualifications on entry	SPEXCL1 = 1
2	Student's home postcode excluded from mapping	SPEXCL2 = 1
4	Student has (QUALENT3 = P80) but no Level 3 qualifications were found by linking to ILR or NPD data	SPEXCL4 = 1
8	Student's ILR and/or NPD data used to determine SPENTQUAL and SPTARIFF is expected to be incomplete	SPEXCL8 = 1
16	Student has at least one qualification in the Continuity Register where the grade has not been recorded	SPEXCL16 = 1
0	Otherwise	None of the above

61. SPEXCL contains the sum of all applicable values from the table above. It is calculated as  $(1 \times \text{SPEXCL1}) + (2 \times \text{SPEXCL2}) + (4 \times \text{SPEXCL4}) + (8 \times \text{SPEXCL8}) + (16 \times \text{SPEXCL16})$ . The reason(s) for the exclusion can therefore be identified. For example, if  $\text{SPEXCL} = 11$ , by subtracting values from the above table (starting at the bottom), we see that the student's ILR and/or NPD data used to determine SPENTQUAL and SPTARIFF is expected to be incomplete ( $\text{SPEXCL8} = 1$ ), their home postcode is excluded from the mapping ( $\text{SPEXCL2} = 1$ ) and they are excluded for another reason not related to their home postcode or data on their qualifications on entry ( $\text{SPEXCL} = 1$ ).

## SPPOP

62. This field indicates whether the student is included in the full-time successful outcomes population.

Value	Description	Definition
1	Included in full-time successful outcomes population	$\text{SPEXCL} = 0$
0	Otherwise	Otherwise

## SPEXCLPOP

63. This field indicates that the student is excluded from the full-time successful outcomes population for one or more reasons related to data on their qualifications on entry. If the number of students with (SPEXCLPOP = 1) exceeds the number of students with (SPPOP = 1), then we do not use the provider's headcounts to calculate its 'Premium to support successful student outcomes: full-time' allocation (see '2020-21 Post-collection outputs: Student premium method document' for more information).

Value	Description	Definition
1	Excluded from full-time successful outcomes population for one or more reasons related to qualifications on entry data	SPEXCL1 = 0 and SPEXCL2 = 0 and (SPEXCL4 = 1 or SPEXCL8 = 1 or SPEXCL16 = 1)
0	Otherwise	Otherwise

## SPGRP

64. This field holds the entry qualification risk group the student was assigned to.

65. For those aiming for a first degree (SPUGLEVEL = FIRST), the assignment to risk groups is as follows:

Value	Description	Definition
Y_F_L	Young, first degree, low risk	SPUGLEVEL = FIRST and SPENTRYAGE < 21 and ((SPENTQUAL = OTH3_TAR, OTH3_NOTAR and SPTARIFF ≥ 116) or SPENTQUAL = HEPG, HEOUG, HEFD, L3_COMB, BACC)
Y_F_M	Young, first degree, medium risk	SPUGLEVEL = FIRST and SPENTRYAGE < 21 and ((SPENTQUAL = OTH3_TAR, OTH3_NOTAR and (41 ≤ SPTARIFF ≤ 115 or SPTARIFF = 0)) or SPENTQUAL = FOU) and not above
Y_F_H	Young, first degree, high risk	SPUGLEVEL = FIRST and SPENTRYAGE < 21 and ((SPENTQUAL = OTH3_TAR, OTH3_NOTAR and 1 ≤ SPTARIFF ≤ 40) or SPENTQUAL = ACCESS, BTEC, OTHER, NONE) and not above
Y_F_U	Young, first degree, unknown	SPUGLEVEL = FIRST and SPENTRYAGE < 21 and not above
M_F_L	Mature, first degree, low risk	SPUGLEVEL = FIRST and SPENTRYAGE ≥ 21 and ((SPENTQUAL = L3_COMB and SPGRADECOMB = ACC_BBC+) or

Value	Description	Definition
		(SPENTQUAL = OTH3_TAR, OTH3_NOTAR and SPTARIFF ≥ 106) or SPENTQUAL = HEPG, HEOUG, HEFD)
M_F_M	Mature, first degree, medium risk	SPUGLEVEL = FIRST and SPENTRYAGE ≥ 21 and ((SPENTQUAL = L3_COMB and SPGRADECOMB = BCC_CCC) or (SPENTQUAL = OTH3_TAR, OTH3_NOTAR and SPTARIFF ≤ 105) or SPENTQUAL = BACC, ACCESS, FOU) and not above
M_F_H	Mature, first degree, high risk	SPUGLEVEL = FIRST and SPENTRYAGE ≥ 21 and SPENTQUAL = BTEC, OTHER, NONE and not above
M_F_U	Mature, first degree, unknown	SPUGLEVEL = FIRST and SPENTRYAGE ≥ 21 and not above

66. For other undergraduates (SPUGLEVEL = OUG), the assignment to risk groups is instead as follows:

Value	Description	Definition
Y_O_L	Young, other undergraduate, low risk	SPUGLEVEL = OUG and SPENTRYAGE < 21 and ((SPENTQUAL = OTH3_TAR, OTH3_NOTAR and SPTARIFF ≥ 116) or SPENTQUAL = HEPG, HEOUG, HEFD, L3_COMB)
Y_O_M	Young, other undergraduate, medium risk	SPUGLEVEL = OUG and SPENTRYAGE < 21 and ((SPENTQUAL = OTH3_TAR, OTH3_NOTAR and 66 ≤ SPTARIFF ≤ 115) or SPENTQUAL = BACC, FOU, ACCESS) and not above
Y_O_H	Young, other undergraduate, high risk	SPUGLEVEL = OUG and SPENTRYAGE < 21 and ((SPENTQUAL = OTH3_TAR, OTH3_NOTAR and SPTARIFF ≤ 65) or SPENTQUAL = BTEC, OTHER, NONE) and not above
Y_O_U	Young, other undergraduate, unknown	SPUGLEVEL = OUG and SPENTRYAGE < 21 and not above
M_O_L	Mature, other undergraduate, low risk	SPUGLEVEL = OUG and SPENTRYAGE ≥ 21 and ((SPENTQUAL = OTH3_TAR, OTH3_NOTAR and SPTARIFF ≥ 66) or SPENTQUAL = HEPG, HEOUG, HEFD, L3_COMB, ACCESS)

Value	Description	Definition
M_O_M	Mature, other undergraduate, medium risk	SPUGLEVEL = OUG and SPENTRYAGE ≥ 21 and ((SPENTQUAL = OTH3_TAR, OTH3_NOTAR and 1 ≤ SPTARIFF ≤ 65) or SPENTQUAL = FOU) and not above
M_O_H	Mature, other undergraduate, high risk	SPUGLEVEL = OUG and SPENTRYAGE ≥ 21 and (SPENTQUAL = BACC, BTEC, OTHER, NONE or (SPENTQUAL = OTH3_TAR, OTH3_NOTAR and SPTARIFF = 0)) and not above
M_O_U	Mature, other undergraduate, unknown	SPUGLEVEL = OUG and SPENTRYAGE ≥ 21 and not above

## SPQUIN

67. This field indicates:

- a. For young students in the full-time successful outcomes population (SPPOP = 1 and SPENTRYAGE < 21): the POLAR4 quintile ([www.officeforstudents.org.uk/data-and-analysis/young-participation-by-area/get-the-area-based-measures-data](http://www.officeforstudents.org.uk/data-and-analysis/young-participation-by-area/get-the-area-based-measures-data)) of the student's 2011 MSOA (2011 SOA in Northern Ireland, 2001 Intermediate Zone in Scotland). Values are 1 to 5, with 1 being the quintile of lowest participation rates.
- b. For mature students in the full-time successful outcomes population (SPPOP = 1 and SPENTRYAGE ≥ 21): the Adult HE 2011 quintile ([www.officeforstudents.org.uk/data-and-analysis/young-participation-by-area/get-the-area-based-measures-data](http://www.officeforstudents.org.uk/data-and-analysis/young-participation-by-area/get-the-area-based-measures-data)) of the student's 2011 MSOA (2011 SOA in Northern Ireland, 2001 Intermediate Zone in Scotland). Values are 1 to 5, with 1 being the quintile with the lowest proportions of higher education qualified adults. Note that mature full-time students who already hold a higher education qualification at the same level as, or higher than, their current qualification aim, or have unknown entry qualifications (SPHIGHQUAL = 0), are given a quintile value of 5, irrespective of their postcode.





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