## **Regulator: The Office for Students**

## Business Impact Target Reporting period covered: 21 June 2018 - 20 June 2019

Excluded Category*	Summary of measure(s), including any impact data where available**
Measures certified as being below <i>de minimis</i> (measures with an EANDCB below +/- £5 million)	<b>Regulatory notices</b> : these are issued to providers and amend the regulatory framework. In this period the OfS issued one notice. This new version of Regulatory notice 1 applies in respect of applications for the approval of access and participation plans for the academic year commencing 2020-21.
	<b>Regulatory guidance</b> : Guidance helps providers to understand and meet our requirements. These do not form part of the regulatory framework. In this reporting period the OfS updated three regulatory advice documents (1, 6 and 8). Additionally advice was published on five new areas: accounts direction; responsibilities of accountable officers; guidance on facilitating electoral registration; and applying for (i) degree awarding powers and (ii) university title.
	<b>Consultations:</b> the OfS undertook three consultations during this reporting period on:
	<ul> <li>our approach to access and participation in higher education;</li> <li>draft terms and conditions of funding for academic year 2019-20 for registered higher education providers in receipt of financial support from the OfS under Section 39(1) of the Higher Education and Research Act 2017.</li> <li>views on the principles guiding the way in which the OfS counts student numbers for regulatory purposes.</li> </ul>
EU Regulations, Decisions and Directives and other international obligations, including the implementation of the EU Withdrawal Bill and EU Withdrawal Agreement	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.
Measures certified as concerning EU Withdrawal Bill operability measures	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.
Pro-competition	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.

Excluded Category*	Summary of measure(s), including any impact data where available**
Systemic Financial Risk	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.
Civil Emergencies	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.
Fines and Penalties	Access agreements: in October 2018 the OfS fined one provider for a major breach.
Misuse of Drugs	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.
Measures certified as relating to the safety of tenants, residents and occupants in response to the Grenfell tragedy	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.
Casework	Our website details our regulatory decisions.
	<b>Initial registration and mitigations imposed:</b> during this reporting period the OfS was engaged in assessing the applications of 494 providers, and registered 372 providers. Additionally, the OfS applied specific conditions to 20 providers, and subsequently removed specific conditions from two providers.
	<b>Monitoring and intervention:</b> where a provider has breached an ongoing condition of registration, the OfS can impose a range of interventions and sanctions, including applying specific conditions, monetary penalties (from 1 August 2019) and ultimately suspension from the OfS Register.
	<b>Notifications:</b> the OfS receives, assesses and where appropriate, investigates notifications made about a provider that the OfS regulates, to ensure that they comply with the ongoing conditions of registration. 175 notifications were received through the <u>notifications@officeforstudents.org.uk</u> email address in the reporting period.

Excluded Category*	Summary of measure(s), including any impact data where available**
Education, communications and promotion	Consistent with our strategy to enable providers to comply with the regulatory framework, we undertake presentations, organise webinars and we communicate on specific topics. Attendance at events and participation in our online webinars is not mandatory.
Activity related to policy development	We undertake data analysis, and commission and publish independent research to inform our decision making, and for transparency purposes.
Changes to management of regulator	Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.

\* For detailed guidance on the exclusion categories, please see <u>https://www.gov.uk/government/publications/better-regulation-framework</u>

\*\* Complete the summary box as 'Following consideration of the exclusion category there are no measures for the reporting period that qualify for the exclusion.' where this is appropriate.