

Welcome to the HESES18 seminar

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Funding round manager

Friends House, London

4 September 2018

Wifi: TBC

Handouts available to download from xxxx

Today's agenda

9:30 Registration and refreshments

10:00 Welcome and introductions

10:05 1. The funding round cycle and the HESES process

10:35 2a. Definitions: categorising students; quiz

11:25 Break

11:35 2b. Definitions: counting students; planning tables; quiz

12:30 3. Exercises

13:20 Lunch

13:50 4. Table 7: pre-registration Health courses

14:10 Further exercises, including Table 7

14:25 5. Submitting HESES and data verification

15:30 **Summary and final points; available for questions**

OfS Staff here today

- Esther Nye, Funding Round Manager
- Ross Lawlor, Deputy Funding Round Manager
- Graham Price, Funding Round Adviser
- Rebecca McCutcheon, Funding Round Adviser
- Jon Baker, Funding Round Adviser
- Sioned Forwood, Analyst
- Myles Garrould, Analyst



The OfS funding round cycle



The Office for Students

- Formerly HEFCE until April 2018
- Increased regulatory responsibilities & Register
- Same teaching funding responsibilities as HEFCE until 2019-20

In 2018-19

- OfS funds Further Education and Sixth Form College (FECs) and Higher Education Institutions (HEIs)

In 2019-20

- All HE providers apply for Registration to be funded in 2019-20, for student support designation or Tier 4
- Providers registered in Approved (fee cap) category receive OfS funding

Overview

- Purpose of HESES
- Funding round cycle
- OfS funding
- HESES timeline
- Changes since last year

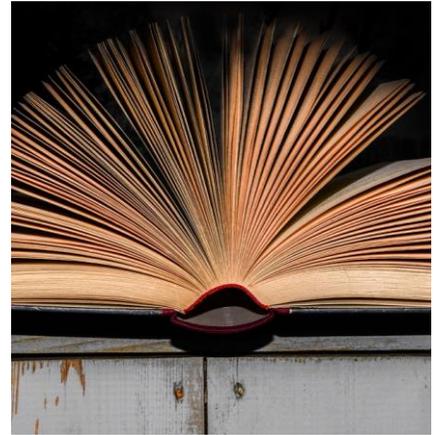


What is HESES for?

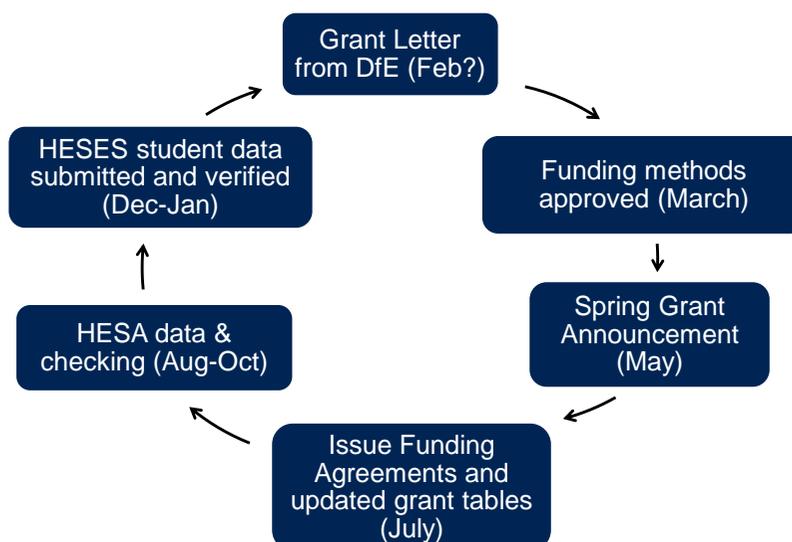
Aggregate, in-year data return (spreadsheet) includes some forecasting

- Funding:
 - Funding round cycle
 - Funding powers
 - Funding streams and data sources
- Regulation and assurance, including:
 - HE Register (sub-contractual arrangements)
 - Financial assurance (recruitment)

Definitions and more detail in later presentations



Funding round cycle



The OfS approach to funding

2018-19: the OfS funds under former HEFCE powers for teaching and related activities

2019-20: the OfS is empowered to fund a wider range of providers (subject to legislation) that are registered in Approved (fee cap). Funding powers and responsibilities under HERA 2017 will apply.

Funding methodology for 2019-20 likely to be transitional awaiting outcomes of:

- Government review of post-18 education
- Review of our funding method

For 2019-20 we aim to treat providers consistently and minimise changes to data collection

Using 2018-19 funding methods for 2019-20, but balance and rates of funding may change

Definition of recognised HE

OfS publication 'Funding for academic year 2019-20' of 2 August 2018

- Not significantly different from previous years for HEIs
- Recognised HE courses leading to qualifications at Level 4 or above, excluding courses funded by other public bodies (e.g. ESFA)
 - UG or PG degree, accredited HE diploma or certificate
 - Not NVQs
- HE-level credit that can be counted towards a recognised HE course
- Foundation years/ free standing level zero provision provided they are integrated i.e. student registered at start for HE qualification at same institution
- Further information in **Annex B of HESES18 guidance**
- Includes qualifications that are part of an Apprenticeship

How do we fund recognised HE?

- Funding is calculated from data in HESES and HESA returns using formulae
- We provide funding in a block grant, paid in 9 instalments
- Terms and conditions attached to grant set out in terms and conditions of funding
- 'Grant announcement' in spring tells you how much funding for forthcoming academic year (plus any adjustments to other years' funding)
- Allocations may be updated in July and/or October
- Grant tables on the OfS portal

Data sources for funding allocations

Applying current funding methodology, which may change

2019-20 funding allocations (announced in spring 2019)

Informed by:

HESES18 data – main source of data for calculating funding

- data aggregated in certain categories needed for funding – such as price groups, mode, level
- includes forecasts of completions and of students starting after 1 December 2018

2017-18 HESA student return – individualised data

- student characteristics used for some targeted allocations
- Used to recreate HESES17; funding may be reconciled

Teaching funding streams

- **High-cost subject funding:** based on FTEs reported in HESES in price groups
- **Targeted allocations....** (based on combination of HESA and HESES data)



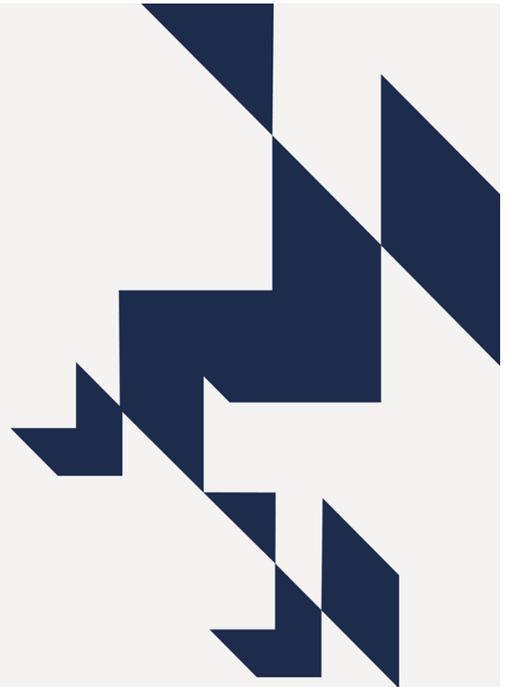
High cost subject funding (2018-19 rates)

Price group	Subject-based allocation per FTE
A: clinical medical, dentistry and veterinary science	£10,000
B: laboratory-based science and engineering	£1,500
C1: art and design; media studies; IT and computer science; archaeology	£250
C2: other intermediate-cost subjects with a laboratory, studio or field work element	£0
D: classroom-based subjects	£0

Targeted allocations with data sources (2018-19)

- Student premiums:
 - Student characteristics from HESA and FTEs from HESES
 - Premium to support successful student outcomes (full-time and part-time allocations)
 - Disabled students' premium
- Other targeted allocations include:
 - Postgraduate taught supplement (PGT (other) numbers from HESES)
 - accelerated full-time undergraduate; intensive postgraduate (long courses from HESES)
 - ERASMUS+ and overseas study programmes (from HESES)
 - London weighting (delivery location from HESA; FTEs from HESES)

The HESES process timeline



HESES process timeline

Date	Activity
October 2018	HESES18 workbook will be available to download from the OfS portal
1 December 2018	HESES18 census date
10 December 2018	Noon deadline to return HESES18
11 December 2018 onwards	Data verification – you will be asked questions about the data based on verification and credibility checks, and comparisons with previous years' data
28 January 2019	Deadline for HESES18 sign off by provider's accountable officer

Changes from previous year

See publication: 'Funding for academic year 2019-20' published 2 August 2018

- New definition of recognised HE for funding purposes (Annex B of guidance). No significant change for higher education institutions
- Data returns from other providers applying for approved (fee cap) registration
- Changes in language (e.g. 'providers') reflecting new powers and level playing field

For the HESES18 return:

- Revised format for Table 5: Planning. Clarification of categories
- Revised definition of mode (full-time and part-time) for students taking recognised HE as part of apprenticeship
- Revised Table 7s for providers delivering pre-registration Health courses
- Postgraduate pre-registration courses are PG (UG Fee)
- Later sign off deadline

What does HESES18 count?

HESES counts '**years of instance**' rather than student headcount.

- Where a student is aiming for a recognised HE qualification or HE-level credit

Broadly, the **HESES18 population**:

- Includes students registered at the institution and actively pursuing studies in 2017-18 academic year
- Includes outgoing, but not incoming, exchange students
- Excludes students taught at the institution under a sub-contractual arrangement but registered at another institution
- Excludes students where more than half of the active study time for the whole instance is spent abroad

Years of instance are counted in HESES if active on **1 December 2018** (i.e. has started and not withdrawn) or forecast to be active after that date (but before 31 July 2019)

Partnerships: validation and sub-contractual arrangements

Validation-only arrangement

- HEI provides assurance about the standards of an HE qualification
- Teaching institution usually designs the course
- Student is registered with the teaching institution, which reports students in data

Sub-contractual ('franchise') arrangement

- Student is taught by an institution other than the one they are registered with
- Registering institution reports data

OfS funding powers apply to the institution with full contractual responsibility: the **registering** institution.

- SLC pays tuition fee loans to registering institution.

Amendments to data

- We will not automatically accept data amendments: errors in data will be assessed by an OfS panel
- Panel decides if amendments are required
- To be required, amendments must be **widespread** and **significant** with **material impact** on our use of the data.
- Amendments may be used for one purpose, not all
- Get it right first time



Where to find more information

The OfS website (www.officeforstudents.org.uk)

HESES18 guidance: instructions for completing tables; funding rules and definitions (published end September)

Guide to Funding 2018-19

2017-18 HESA student record data checking tool

Contact emails

Funding queries: recurrentgrant@officeforstudents.org.uk

HESES queries: heses@officeforstudents.org.uk

HESA queries: hesastudentdata@officeforstudents.org.uk

Thank you for listening

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