

# Welcome to the HEIFES18 seminar

## Today's agenda

**9:30** | **Registration and refreshments**

10:00 Welcome and introductions

10:05 1. The funding round cycle and the HEIFES process  
2a. Definitions: categorising students; quiz

**11:25** | ***Break***

11:35 2b. Definitions: counting students and the courses table  
2c. Definitions: the planning tables; quiz

**13:20** | ***Lunch***

14:10 3. Exercises

14:25 4. Submitting HEIFES and data verification

15:05

*Summary and final points; available for questions*

## **OfS Staff here today**

- Ross Lawlor, Deputy Funding Round Manager
- Sarah Parry-Reid, Funding Round Adviser
- Rebecca McCutcheon, Funding Round Adviser
- Jon Baker, Funding Round Adviser
- Graham Price, Funding Round Adviser
- Tom Adams, Funding Round Adviser
- Danny Shone, Analyst
- Jack Preston, Analyst
- Rosie Newman, PA





## **The OfS funding round cycle The Office for Students**

- Formerly HEFCE until April 2018
- Increased regulatory responsibilities & Register
- Same teaching funding responsibilities as HEFCE until 2019-20

In 2018-19

- OfS funds Further Education and Sixth Form College (FECs) and Higher Education Institutions (HEIs)

In 2019-20

- All HE providers apply for Registration to be funded in 2019-20, for student support designation or Tier 4
- Providers registered in Approved (fee cap) category receive OfS funding

## Overview

- Purpose of HEIFES
- Funding round cycle
- OfS funding
- HEIFES timeline
- Changes since last year



## What is HEIFES for?

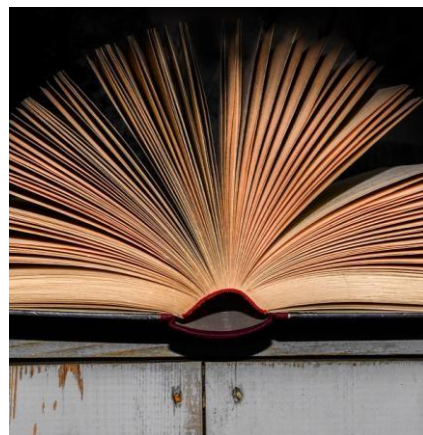
Aggregate, in-year data return (Excel workbook) includes some forecasting

### •Funding:

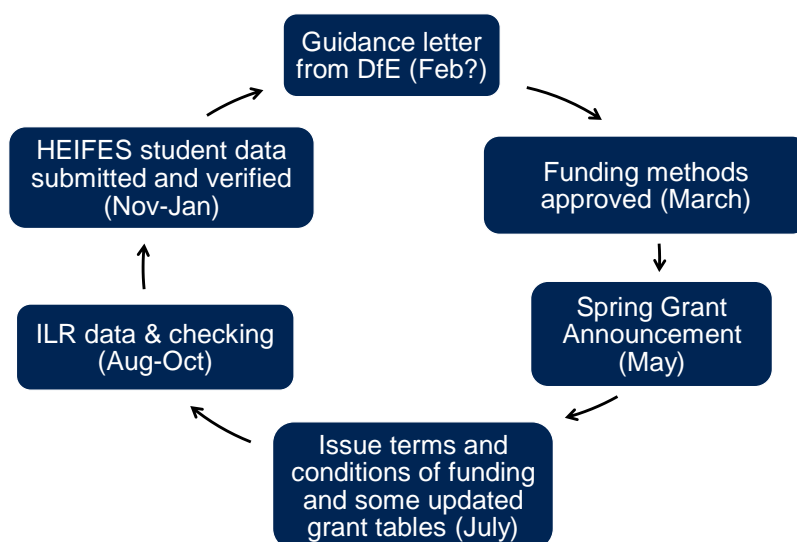
- Funding round cycle
- Funding powers
- Funding streams and data sources

### •Regulation and assurance, including:

- Legacy HE Register  
(subcontractual arrangements) *Definitions and more detail in later presentations*



## Funding round cycle



## The OfS approach to funding

**2018-19:** the OfS funds under former HEFCE powers for teaching and related activities

**2019-20:** the OfS is empowered to fund a wider range of providers (subject to legislation) that are registered in Approved (fee cap). Funding powers and responsibilities under HERA 2017 will apply.

Funding methodology for 2019-20 likely to be transitional awaiting outcomes of:

- Government review of post-18 education
- Review of our funding method

For 2019-20 we aim to treat providers consistently and minimise changes to data collection

Using 2018-19 funding methods for 2019-20, but balance and rates of funding may change

## Definition of recognised HE

*Recognised higher education for OfS funding purposes*

- **Includes** courses that are designated under the Education (Student Support) Regulations 2011 and other courses that lead to qualifications meeting the standards at Level 4 and above of The Frameworks for Higher Education Qualifications of UK Degree-Awarding Bodies (FHEQ), **or credit** towards such a qualification that is itself at Level 4 or above.
- **Excludes** courses (and credit towards such courses) leading to qualifications in the Register of Regulated Qualifications, unless they are courses designated under the Education (Student Support) Regulations 2011.

*Taken from the OfS publication 'Funding for academic year 2019-20'*

## Definition of recognised HE

OfS publication 'Funding for academic year 2019-20' of 2 August 2018

- More courses are now fundable, compared to previous years
- Recognised HE courses leading to qualifications at Level 4 or above, excluding courses funded by other public bodies (e.g. ESFA)
- Includes:
  - UG or PG degree, accredited HE diploma or certificate, HNDs, HNCs
  - Not NVQs
  - HE-level credit that can be counted towards a recognised HE course
  - Foundation years/ free standing level zero provision provided they are integrated i.e. student registered at start for HE qualification at same provider
- Further information in **Annex B of HEIFES18 guidance** • Includes qualifications that are part of an Apprenticeship

## How do we fund recognised HE?

- Funding is calculated from data in HEIFES and ILR returns using formulae

- We provide funding in a block grant, paid in 9 instalments
- Terms and conditions attached to grant set out in terms and conditions of funding
- ‘Grant announcement’ in spring tells you how much funding for forthcoming academic year (plus any adjustments to other years’ funding)
- Allocations may be updated in July and/or October
- Grant tables on the OfS portal

## Data sources for funding allocations

*Based on current funding methodology, which may change*

**2019-20 funding allocations** (announced in spring 2019)

Informed by:

**HEIFES18 data** – main source of data for calculating funding

- data aggregated in certain categories needed for funding – such as price groups, mode, level
- includes forecasts of completions and of students starting after 1 November 2018

**2017-18 ILR student return** – individualised data

- student characteristics used for some targeted allocations
- Used to recreate HEIFES17; funding may be reconciled

## Teaching funding streams

- **High-cost subject funding:** based on FTEs reported in HEIFES in price groups

- **Targeted allocations....** (based on combination of ILR and HEIFES data)



**High cost subject funding (2018-19 rates) *subject to budgets and scaling***

Price group	Subject-based allocation per FTE
B: laboratory-based science and engineering	£1,500
C1: art and design; media studies; IT and computer science; archaeology	£250
C2: other intermediate-cost subjects with a laboratory, studio or field work element	£0
D: classroom-based subjects	£0

**Targeted allocations with data sources (2018-19)**

- Student premiums:
- Student characteristics from ILR and FTEs from HEIFES
- Premium to support successful student outcomes (full-time and part-time allocations)
- Disabled students' premium
- Other targeted allocations include:
- Postgraduate taught supplement (PGT (other) numbers from HEIFES)
- accelerated full-time undergraduate; intensive postgraduate (long courses from HEIFES)
- ERASMUS+ and overseas study programmes (from HEIFES)



- London weighting (delivery location from ILR; FTEs from HEIFES)



## The HEIFES process timeline

### HEIFES process timeline

Date	Activity
October 2018	HEIFES18 workbook will be available to download from the OfS portal
1 November 2018	HEIFES18 census date
12 November 2018	Noon deadline to return HEIFES18
13 November 2018 onwards	Data verification – you will be asked questions about the data based on verification and credibility checks, and comparisons with previous years' data

28 January 2019

Deadline for HEIFES18 sign off by provider's accountable officer

## Changes from previous year

See publication: 'Funding for academic year 2019-20' published 2 August 2018

- New definition of recognised HE for funding purposes (Annex B of guidance)
- Data returns from other providers applying for approved (fee cap) registration
- Changes in language (e.g. 'providers') reflecting new powers and level playing field

### For the HEIFES18 return:

- Revised format for Table 5: Planning. Clarification of categories
- Revised definition of mode (full-time and part-time) for students taking recognised HE as part of apprenticeship
- Revised Table 7s for providers delivering pre-registration Health courses
- Postgraduate pre-registration Health courses are PG (UG Fee)
- Later sign off deadline

## What does HEIFES18 count?

HEIFES counts '**years of course**' rather than student headcount.

- Where a student is aiming for a recognised HE qualification or HE-level credit

Broadly, the **HEIFES18 population**:

- **Includes** students registered at the provider and actively pursuing studies in 2018-19 academic year
- **Includes** outgoing, but not incoming, exchange students
- **Excludes** students taught at the provider under a sub-contractual arrangement but registered at another provider
- **Excludes** students where more than half of the active study time for the whole course is spent abroad

Years of course are counted in HEIFES if active on **1 November 2018** (i.e. has started and not withdrawn) or forecast to be active after that date (but before 31 July 2019)

## **Partnerships: validation and sub-contractual arrangements**

### **Validation-only** arrangement

- Validating provider assures the standards of an HE qualification
- Teaching provider usually designs the course
- Student is registered with the teaching provider, which reports students in data

### **Sub-contractual** ('franchise') arrangement

- Student is taught by a provider other than the one they are registered with • Registering provider reports data

OfS funding powers apply to the provider with full contractual responsibility: the **registering** provider.

- SLC pays tuition fee loans to registering provider.

## **Data checking tool – on OfS Portal – for HE fields of ILR**

Upload 2017-18 ILR data (R14) before final submission to ESFA, to:

- Identify and correct errors
- Ensure accurate HE data returned to ESFA
- Respond to data verification queries
- Verify the accuracy of derived fields that inform OfS funding, performance indicators, TEF etc
- Identify discrepancies between HEIFES17 and 2017-18 ILR data • ILR data from data checking tool is used to verify HEIFES18 data

[www.officeforstudents.org.uk](http://www.officeforstudents.org.uk) then 'Data and Analysis' then 'Data checking tool' for guidance

## Amendments to data

- We will not automatically accept data amendments: errors in data will be assessed by an OfS panel
- Panel decides if amendments are required
- To be required, amendments must be **widespread** and **significant** with **material impact** on our use of the data.
- Amendments may be used for one purpose, not all
- Get it right first time



## Where to find more information

The OfS website ([www.officeforstudents.org.uk](http://www.officeforstudents.org.uk))

HEIFES18 guidance: instructions for completing tables; funding rules and definitions (published end September)

Guide to Funding 2018-19

2017-18 ILR data checking tool

### Contact emails

Funding queries: [recurrentgrant@officeforstudents.org.uk](mailto:recurrentgrant@officeforstudents.org.uk)

HEIFES queries: [heifes@officeforstudents.org.uk](mailto:heifes@officeforstudents.org.uk)

ILR queries: [ilrdata@officeforstudents.org.uk](mailto:ilrdata@officeforstudents.org.uk)

# Thank you for listening

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