

**Office for
Students**



2021-22 Student post-collection outputs

Student premium technical document

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Summary

1. This document describes the student premium (SP) funding data summary supplied as part of the 2021-22 Student post-collection outputs, and the algorithms applied to the 2021-22 Student record (C21051) that are used to calculate the 2023-24 SP allocations. Throughout the document, fields taken or derived from the Student record are shown in capitals.
2. The SP funding data summary should be used by staff responsible for individualised data returns at higher education providers. This document is intended to help them understand in detail how the data has been used to calculate their provider's 2023-24 SP allocations.
3. The SP funding data summary output is formed of two files. These are:
 - a. Summary workbook 'SP21_XXXXXXXX.xlsx', where XXXXXXXX denotes the UK Provider Reference Number, UKPRN.
 - b. Individualised file 'SP21_XXXXXXXX_IND.csv'.

Details of how to use individualised files are given on the Office for Students (OfS) website (www.officeforstudents.org.uk/data-and-analysis/supplying-data/working-with-individualised-files).

4. These files can be accessed from the '2021-22 post-collection outputs' area of the OfS portal. Details will be emailed to the named student data contacts at providers when outputs become available.
5. This document is aimed at readers with in-depth knowledge of the data. Readers are advised to refer to the Student Record Coding Manual 2021-22 (<https://www.hesa.ac.uk/collection/c21051>) when using this document.

Changes from the 2020-21 Student post-collection output

6. This section describes any changes to the algorithms since the publication of the technical document for the 2020-21 Student post-collection output, available at www.officeforstudents.org.uk/data-and-analysis/post-collection-outputs/student-post-collection-outputs/ under '2020-21 HESA Student data > Documentation'. Any deletions are shown with a ~~strikethrough~~. Any other changes are shown as **bold and underlined**.

SPNHS

7. The algorithm for (SPNHS = NHS2) has been updated so that it is consistent with the algorithm for HESMDV in the HESES21 comparison technical document.

Value	Definition
NHS2	<u>HESLEVEL = UG, PGT, UGF and</u> COURSEAIM = H16, H62 , I16, J26 , M16, M26, M86 and {REGBODY1 = 01, 30 or REGBODY2 = 01, 30} and ((HESCRSELGTH ≥ 5 and (YEARPRG + HESYZEROADJ + SPINTERCALADJ) > 4) or (HESCRSELGTH = 3, 4 and (YEARPRG + HESYZEROADJ + SPINTERCALADJ) = 2, 3, 4))

SPUGDSA

8. The algorithm has been updated to reflect the change in home fee eligibility for Crown Dependencies domiciled students.

Value	Definition
1	UKPRN ≠ 10007773 and DOMICILE = GG,IM,JE ,XF, XG, XH, XI, XK, XL and (HESLEVEL = UG or (HESLEVEL = PGT_ML, PGT_OTH, PGT_UGF and TTCID = 1, 2, Q)) and SPNHS ≠ NHS1, NHS2 and COURSEAIM ≠ C90, H90, I90, J90, M90 and (INITIATIVES1 ≠ K and INITIATIVES2 ≠ K and INITIATIVES3 ≠ K) and (HESMODE = FTS or (HESMODE = SWOUT and MODE ≠ 23) or (HESMODE = PT and ((COMDATE < 1 September 2012 and HESESFTE ≥ 50) or (COMDATE ≥ 1 September 2012 and HESESFTE ≥ 25))))

SPPGDSA

9. The algorithm has been updated to reflect the change in home fee eligibility for Crown Dependencies domiciled students.

Value	Definition
1	UKPRN ≠ 10007773 and DOMICILE = GG,IM,JE ,XF, XG, XH, XI, XK, XL and ((HESLEVEL = PGT_ML, PGT_OTH, PGT_UGF and TTCID ≠ 1, 2, Q) or HESLEVEL= PGR) and RCSTDNT = 99, BLANK and SPNHS ≠ NHS1, NHS2, NHS3 and COURSEAIM ≠ D90, E90, L90, M90 and (INITIATIVES1 ≠ K and INITIATIVES2 ≠ K and INITIATIVES3 ≠ K) and (HESMODE = FTS or (HESMODE = SWOUT and MODE ≠ 23) or (HESMODE = PT and ((COMDATE < 1 September 2012 and HESESFTE ≥ 50) or (COMDATE ≥ 1 September 2012 and HESESFTE ≥ 25))))

SPOUDSA

10. The algorithm has been updated to reflect the change in home fee eligibility for Crown Dependencies domiciled students, and to exclude students domiciled in Northern Ireland, Scotland and Wales. Note that the exclusion of those domiciled in Scotland simplifies the HESESFTE clause.

Value	Definition
1	UKPRN = 10007773 and DOMICILE = GG,IM,JE ,XF, XG, XH, XI, XK, XL and RCSTDNT = 99, BLANK and SPNHS ≠ NHS1, NHS2, NHS3 and COURSEAIM ≠ C90, D90, E90, H90, I90, J90, L90, M90 and (INITIATIVES1 ≠ K, Z and INITIATIVES2 ≠ K, Z and INITIATIVES3 ≠ K, Z) and (((COMDATE < 1 September 2012 or DOMICILE = XH) and HESESFTE ≥ 50) or (COMDATE ≥ 1 September 2012 and DOMICILE ≠ XH and HESESFTE ≥ 25) or (HESLEVEL = PGT_ML, PGT_OTH and LENGTH = L and

Value	Definition
	((COMDATE < 1 September 2012 or DOMICILE = XH) and HESESFTE ≥ 33.3) or (COMDATE ≥ 1 September 2012 and DOMICILE ≠ XH and HESESFTE ≥ 16.7))

SPTARQUAL

11. The following QUALTYPE codes have been added to the list used to identify qualification types which could contribute to the tariff calculation (SPTARQUAL = TAR): 8K, 8L, SL, XH.

‘Student premium funding data summary’ workbook

12. The worksheets in the workbook are listed in Table 1.

Table 1: Worksheets in the ‘student premium funding data summary’ workbook

Name	Description
Cover_sheet	This displays the provider’s legal name, the provider’s UKPRN, and the date on which the workbook was created.
Funding_data_summary	This shows how the individual allocations are calculated using headcounts, full-time equivalents (FTEs) and funding rates.
Rebuild_information	This contains instructions on how to rebuild headcounts using the individualised file.

‘Student premium funding data summary’ individualised file

13. When working through this document it is necessary to use the individualised file, ‘SP21_XXXXXXXXX_IND.csv’. This shows the assignment of records to categories by our algorithms and, where relevant, provides the data that has been used to determine their exclusion from the relevant population.

14. The individualised file contains one record per year of instance (each unique combination of UKPRN, HUSID, NUMHUS and STUBID) in the 2021-22 academic year.

15. The ‘2021-22 Post-collection outputs: Student premium method document’ (www.officeforstudents.org.uk/data-and-analysis/post-collection-outputs/student-post-collection-outputs/ under ‘2021-22 Student data > Documentation’) describes the methods used to calculate the 2023-24 SP allocations and provides instructions for rebuilding key figures in the workbook from the individualised file.

16. Student fields (C21051) used to generate the data in the output are listed in Table 2. Note that this list does not include fields used to derive fields for the HESES21 comparison output which are subsequently used to derive fields described in this document.

Table 2: List of Student fields (C21051) used in the ‘student premium funding data summary’ output

Entity	Name	Description	Column in individualised file
Course	COURSEAIM	General qualification aim of course	Q
Course	COURSEID†	Course identifier	E

Entity	Name	Description	Column in individualised file
Course	REGBODY1-2	Regulatory body for health and social care students	AM-AN
Course	TTCID	Teacher training course	BK
Entry profile	DOMICILE	Country of domicile prior to entry	T
Entry profile	POSTCODE	Postcode prior to entry	AJ
Entry profile	QUALENT3	Highest qualification on entry	AK
Instance	CAMPID†	Campus identifier	F
Instance	COMDATE	Start date of instance	P
Instance	DISALL	Disabled Students' Allowance	S
Instance	INITIATIVES1-3	Initiatives	AD-AF
Instance	INSTCAMP†	Provider's own campus identifier	G
Instance	INTERCALATE	Intercalation	AG
Instance	MODE	Mode of study	AI
Instance	NUMHUS†	Student instance identifier	C
Instance	RCSTDNT	Research council student	AL
Instance	YEARPRG	Year of course	BL
Institution	UKPRN	UK Provider Reference Number	A
Qualifications on entry	QUALGRADE01-30	Qualification grade	BN-EW
Qualifications on entry	QUALSBJ01-30	Qualification subject	BO-EX
Qualifications on entry	QUALTYPE01-30	Qualification type	BM-EV
Student	BIRTHDTE	Date of birth	O
Student	DISABLE	Disability	R
Student	HUSID†	HESA unique student identifier	B
Student	OWNSTU†	Provider's own identifier for student	D
Derived field	XTPOINTS	UCAS tariff point aggregation	Not shown

† These fields are not used in the calculation but are included in the individualised file so that students can be easily identified.

Description of derived fields

17. Fields derived from Student data (C21051) are listed in Table 3.

Table 3: List of derived fields used in the 'student premium funding data summary' output

Name	Description	Paragraph	Column in individualised file	SP area(s) used in [†]
HESCOMP [†]	Completion status	49	U	Dis/FTSP
HESCRSELGTH [†]	Expected length of the course in years	48	V	Dis
HESESFTE [†]	FTE of the year of instance	62	W	Dis
HESEXCL [†]	Reason(s) for exclusion from the HESES population	83	X	Dis/FTSP
HESLEVEL [†]	Level of study	46	Y	Dis/FTSP
HESMODE [†]	Mode of study	42	Z	Dis/FTSP
HESTYPE [†]	Fundability status	45	AA	Dis/FTSP
HESYZERO [†]	The year in which a student last took a foundation year	66	AB	Dis
HESYZEROADJ [†]	Indicates whether the student has taken a foundation year	67	AC	Dis
LENGTH [†]	Long or standard length year of instance	47	AH	Dis
SPACCESS (SPACCESS_HESA, SPACCESS_LINKED)	Indicates whether student's highest qualification on entry is an Access to HE Diploma	47	Not shown	FTSP
SPBACC (SPBACC_HESA, SPBACC_LINKED)	Indicates whether student's highest qualification on entry is an International Baccalaureate	43	Not shown	FTSP
SPBTEC (SPBTEC_HESA, SPBTEC_LINKED)	Indicates whether student's highest qualification on entry is a BTEC	45	Not shown	FTSP
SPDISPOP	Inclusion in the disabled students' premium population	27	I	Dis
SPDSAALLOC	In receipt of Disabled Students' Allowances (DSA)	28	J	Dis
SPENTQUAL	Grouping of the student's highest qualification on entry	51	Not shown	FTSP
SPENTRYAGE	Student's age on commencement of instance	35	AO	FTSP

Name	Description	Paragraph	Column in individualised file	SP area(s) used in [†]
SPEXCL	Reason(s) for exclusion from the full-time successful outcomes population	59	AP	FTSP
SPEXCL1	Indicates exclusion for reasons not related to postcode or qualifications on entry	54	AQ	FTSP
SPEXCL2	Indicates that postcode is excluded from mapping	55	AR	FTSP
SPEXCL4, SPEXCL8, SPEXCL16	Indicate exclusion for reason related to qualifications on entry	56, 57, 58	AS-AU	FTSP
SPEXCLPOP	Indicates exclusion for one or more reasons related to qualifications on entry	62	AV	FTSP
SPGRADECOMB (SPGRADECOMB_HESA, SPGRADECOMB_LINKED)	Categorises students with A-levels, Scottish Highers or Scottish Advanced Highers on entry according to the highest grades for these qualification types	40	AW (only _HESA shown)	FTSP
SPGRP	Entry qualification risk group	63	L	FTSP
SPHIGHQUAL	Identifies students who have previously obtained their qualification aim, or a higher qualification aim	53	AX	FTSP
SPINTERCAL	Indicates the year in which the student last took an intercalated degree	21	AY	Dis
SPINTERCALADJ	Indicates whether the student has taken an intercalated degree	22	AZ	Dis
SPL3SOURCE	Indicates source of data used to derive fields related to qualifications on entry	50	BA	FTSP

Name	Description	Paragraph	Column in individualised file	SP area(s) used in [‡]
SPLINK	Indicates whether Level 3 qualification found by linking	33	BB	FTSP
SPLINKAGE	Student's age on 31 August 2021	34	BC	FTSP
SPNHS	NHS or Social Work Bursary group	23	BD	Dis
SPOUDSA	DSA eligibility status for Open University students	26	BE	Dis
SPPGDSA	DSA eligibility status for postgraduates	25	BF	Dis
SPPOP	Identifies students in the full-time successful outcomes population	61	M	FTSP
SPQUIN	Participation or qualification quintile of student in full-time successful outcomes population	66	N	FTSP
SPSDALLOC	Self-declared disability, not in receipt of DSA	29	K	Dis
SPTARIFF (SPTARIFF_HESA, SPTARIFF_LINKED)	Tariff score	48	BG (only _HESA shown)	FTSP
SPTARQUAL	Tariff-bearing qualifications on entry indicator	49	BH	FTSP
SPUGDSA	DSA eligibility status for undergraduates	24	BI	Dis
SPUGLEVEL	Indicates whether student is aiming for a first degree	52	BJ	FTSP
STUBID [†]	Unique countable year of instance identifier	33	H	Dis/FTSP

[†] The algorithms for deriving these fields are given in the 'HESES21 comparison technical document'; the paragraph references refer to this document. STUBID is not used in the calculation but is included in the individualised file so that years of instance can be easily identified.

[‡] Dis = Disabled students' premium, FTSP = Premium to support successful student outcomes: full-time.

Linking to other data sources

18. We link 2021-22 Student data (C21051) with any provider's Individualised Learner Record (ILR) data and schools' National Pupil Database (NPD) data from 2002-03 to 2020-21 to find more information on students' Level 3 qualifications. We link by combinations of first name(s), surname, date of birth, gender and (where available) home postcode and prior educational

establishment. Spelling errors and other typographical errors (e.g. in dates) are taken into account.

19. We derive SPGRADECOMB, SPBACC, SPBTEC, SPACCESS, SPTARIFF and SPENTQUAL using either information from the Continuity Register or information from the ILR and NPD data. For data protection reasons these fields and others used to derive them (SPGRADECOMB_LINKED, SPBACC_HESA, SPBACC_LINKED, SPBTEC_HESA, SPBTEC_LINKED, SPACCESS_HESA, SPACCESS_LINKED, SPTARIFF_LINKED) are not displayed in the individualised file (SP21_XXXXXXXXX_IND.csv). The Department for Education does not accept responsibility for any inferences or conclusions derived from National Pupil Database (NPD) data by third parties.
20. We use the following process to determine whether or not to use the information in the Continuity Register:
 - a. Where QUALENT3 indicates that the student does not hold a higher education qualification, we determine the student's grade combination by comparing the value derived from information in the Continuity Register (SPGRADECOMB_HESA) with the value derived from the ILR and NPD data (SPGRADECOMB_LINKED). We use the value derived from the information in the Continuity Register if it is equal to or 'higher' than the value derived from the ILR and NPD data, otherwise we use the value derived from the ILR and NPD data.
 - b. Where (SPGRADECOMB_HESA = OTHER and SPGRADECOMB_LINKED = OTHER), we calculate the tariff score using information from the Continuity Register (SPTARIFF_HESA) and the tariff score using the ILR and NPD data (SPTARIFF_LINKED). We use these values and other information to determine whether or not the student's highest qualification on entry is an International Baccalaureate. If we determine that they hold an International Baccalaureate using one source but not the other, we use that source. If we find an International Baccalaureate in both sources, we use the information in the Continuity Register.
 - c. Where we do not find an International Baccalaureate in either source, we use the values of SPTARIFF_HESA and SPTARIFF_LINKED and other information to determine whether or not the student's highest qualification on entry is a BTEC. If we determine that they hold a BTEC using one source but not the other, we use that source. If we find a BTEC in both sources, we use the information in the Continuity Register.
 - d. Where we do not find a BTEC in either source, we use both sources to determine whether or not the student's highest qualification on entry is an Access to HE Diploma. If we determine that they hold an Access to HE Diploma using one source but not the other, we use that source. If we find an Access to HE Diploma in both sources, we use the information in the Continuity Register.
 - e. Where we do not find an Access to HE Diploma in either source, we determine the student's tariff score (SPTARIFF) using the values of SPTARIFF_HESA and SPTARIFF_LINKED. We use the value of SPTARIFF_HESA if it is greater than or equal to the value of SPTARIFF_LINKED, otherwise we use the value of SPTARIFF_LINKED.

Disabled students' premium

SPINTERCAL

21. This field indicates the year in which a student last took an intercalated degree (INTERCALATE = 01 or COURSEAIM = H24) as part of this instance. For students who have not taken an intercalated degree, this field is blank. We have linked back to 2005-06.

SPINTERCALADJ

22. This field indicates students who have taken an intercalated degree.

Value	Description	Definition
1	Intercalated degree taken	SPINTERCAL ≠ BLANK or INTERCALATE = 01
0	Otherwise	Otherwise

SPNHS

23. This field identifies the two groups of students eligible for an NHS Bursary, and the group of students eligible for a Social Work Bursary.

Value	Description	Definition
NHS1	Course with NHS Bursary: non-medical or dental students	(COURSEAIM = H16, H62, I16, J26, M16, M26, M86 and (((HESLEVEL = UG and COMDATE < 1 August 2017) or (HESLEVEL = PGT_UGF and COMDATE < 1 August 2018)) and ((REGBODY1 = 06, 42, 44, 52, 55, 61, 62, 63, 64, 65 or REGBODY2 = 06, 42, 44, 52, 55, 61, 62, 63, 64, 65) or ((REGBODY1 = 46, 47, 48, 50, 56, 57 or REGBODY2 = 46, 47, 48, 50, 56, 57) and HESTYPE = HOMENF))) or (HESLEVEL = UG and COMDATE < 1 August 2018 and (REGBODY1 = 36, 37 or REGBODY2 = 36, 37) and HESTYPE = HOMENF)))
NHS2	Year with NHS Bursary: years 5 or greater pre-registration medical and dental students; or years 2, 3 or 4 of a four-year graduate entry programme; or years 2	HESLEVEL = UG, PGT_UGF and COURSEAIM = H16, I16, M26 and REGBODY1 = 01, 30 and ((HESCRSELGTH ≥ 5 and (YEARPRG + HESYRZEROADJ + SPINTERCALADJ) > 4) or (HESCRSELGTH = 3, 4 and (YEARPRG + HESYRZEROADJ + SPINTERCALADJ) = 2, 3, 4))

Value	Description	Definition
	or 3 of a three-year graduate entry programme	
NHS3	Course with Social Work Bursary	HESLEVEL = PGT_ML, PGT_OTH and (REGBODY1 = 16 or REGBODY2 = 16) and COURSEAIM ≠ M76
OTHER	Otherwise	Otherwise

SPUGDSA

24. This field indicates the Disabled Students' Allowances (DSA) eligibility status for undergraduates, including students on postgraduate Initial Teacher Training courses and excluding Open University students.

Value	Description	Definition
1	Undergraduate eligible for DSA	UKPRN ≠ 10007773 and DOMICILE = GG, IM, JE, XF, XG, XH, XI, XK, XL and (HESLEVEL = UG or (HESLEVEL = PGT_ML, PGT_OTH, PGT_UGF and TTCID = 1, 2, Q)) and SPNHS ≠ NHS1, NHS2 and COURSEAIM ≠ C90, H90, I90, J90, M90 and (INITIATIVES1 ≠ K and INITIATIVES2 ≠ K and INITIATIVES3 ≠ K) and (HESMODE = FTS or (HESMODE = SWOUT and MODE ≠ 23) or (HESMODE = PT and ((COMDATE < 1 September 2012 and HESESFTE ≥ 50) or (COMDATE ≥ 1 September 2012 and HESESFTE ≥ 25))))
0	Otherwise	Otherwise

SPPGDSA

25. This field indicates the DSA eligibility status for postgraduates, excluding students on postgraduate Initial Teacher Training courses and Open University students.

Value	Description	Definition
1	Postgraduate eligible for DSA	UKPRN ≠ 10007773 and DOMICILE = GG, IM, JE, XF, XG, XH, XI, XK, XL and ((HESLEVEL = PGT_ML, PGT_OTH, PGT_UGF and TTCID ≠ 1, 2, Q) or HESLEVEL = PGR) and RCSTDNT = 99, BLANK and

Value	Description	Definition
		SPNHS ≠ NHS1, NHS2, NHS3 and COURSEAIM ≠ D90, E90, L90, M90 and (INITIATIVES1 ≠ K and INITIATIVES2 ≠ K and INITIATIVES3 ≠ K) and (HESMODE = FTS or (HESMODE = SWOUT and MODE ≠ 23) or (HESMODE = PT and ((COMDATE < 1 September 2012 and HESESFTE ≥ 50) or (COMDATE ≥ 1 September 2012 and HESESFTE ≥ 25))))
0	Otherwise	Otherwise

SPOUDSA

26. This field indicates the DSA eligibility status for Open University students.

Value	Description	Definition
1	Open University student eligible for DSA	UKPRN = 10007773 and DOMICILE = GG, IM, JE, XF, XK, XL and RCSTDNT = 99, BLANK and SPNHS ≠ NHS1, NHS2, NHS3 and COURSEAIM ≠ C90, D90, E90, H90, I90, J90, L90, M90 and (INITIATIVES1 ≠ K, Z and INITIATIVES2 ≠ K, Z and INITIATIVES3 ≠ K, Z) and ((COMDATE < 1 September 2012 and HESESFTE ≥ 50) or (COMDATE ≥ 1 September 2012 and HESESFTE ≥ 25) or (HESLEVEL = PGT_ML, PGT_OTH and LENGTH = L and ((COMDATE < 1 September 2012 and HESESFTE ≥ 33.3) or (COMDATE ≥ 1 September 2012 and HESESFTE ≥ 16.7))))
0	Otherwise	Otherwise

SPDISPOP

27. This field indicates whether the student is included in the disabled students' premium population.

Value	Description	Definition
1	Included in the disabled students' premium population	HESCOMP = 4 and HESEXCL = 0 and HESTYPE = HOMEF, HOMENF and (SPUGDSA = 1 or SPPGDSA = 1 or SPOUDSA = 1)
0	Otherwise	Otherwise

SPDSAALLOC

28. This field indicates whether the student is in receipt of DSA.

Value	Description	Definition
1	In receipt of DSA	SPDISPOP = 1 and DISALL = 4
0	Otherwise	Otherwise

SPSDALLOC

29. This field indicates whether the student has self-declared a disability or health problem and is not in receipt of DSA.

Value	Description	Definition
1	Self-declared disability or health problem and not in receipt of DSA	SPDISPOP = 1 and SPDSAALLOC ≠ 1 and DISABLE ≠ 00, BLANK
0	Otherwise	Otherwise

Premium to support successful student outcomes: full-time

QUALTYPE01-30

30. The qualification type (QUALTYPE) of each of the student's qualifications on entry¹ from the Continuity Register.

QUALSBJ01-30

31. The qualification subject (QUALSBJ) of each of the student's qualifications on entry¹ from the Continuity Register.

QUALGRADE01-30

32. The qualification grade (QUALGRADE) of each of the student's qualifications on entry¹ from the Continuity Register.

SPLINK

33. This field indicates whether a Level 3 qualification for the student has been found by linking to ILR or NPD data. It is only calculated when (QUALENT3 ≠ DUK, DZZ, D80, M41, M44, M71, M80, M90, MUK, MZZ, H71, M2X, H11, HUK, HZZ, JUK, H80, J10, J20, J30, J48, J80, C20, C30, C44, C80, C90, J49).

Value	Description	Definition
1	Level 3 qualification found	Student has been linked to at least one other data source
0	No Level 3 qualifications found	Otherwise

SPLINKAGE

34. This field indicates the student's age in years on 31 August 2021. This is the number of full years between BIRTHDTE and 31 August 2021. Where BIRTHDTE is missing, SPLINKAGE will be set to zero.

SPENTRYAGE

35. This field contains the student's age at the commencement of the instance. This is the number of full years between BIRTHDTE and COMDATE. Where BIRTHDTE or COMDATE are missing, SPENTRYAGE will be set to zero.

¹ For space reasons, only 30 occurrences are included in the individualised file. All occurrences are considered in our algorithms.

SPGRADECOMB_HESA, SPSGRADECOMB_LINKED

36. The SPSGRADECOMB_HESA field uses data in the Continuity Register to categorise students with A-levels, Scottish Highers or Scottish Advanced Highers on entry (QUALTYPE = A, RE, RN, RW, DA, D1, V, V2, 9U, AN, H, AH) into groups according to their highest grades for these qualification types. The SPSGRADECOMB_LINKED field uses ILR and/or NPD data to categorise students with A-levels on entry (QUALTYPE = A, RE, RN, RW, DA, D1, V, V2, 9U, AN) into groups according to their highest grades for these qualification types. Both fields are only calculated when (QUALENT3 ≠ DUK, DZZ, D80, M41, M44, M71, M80, M90, MUK, MZZ, H71, M2X, H11, HUK, HZZ, JUK, H80, J10, J20, J30, J48, J80, C20, C30, C44, C80, C90, J49).
37. Where qualifications for the student are in identical subjects (QUALSBJ), we identify the qualification type (QUALTYPE) which results in the most tariff points and discard duplicates with fewer tariff points. The exception to this is for students with Scottish Highers (QUALTYPE = H). If such a student also has an Advanced Higher (QUALTYPE = AH) in the same subject area, de-duplication could result in the student being assigned to the wrong grade combination category, as it would usually retain the Advanced Higher in preference to the Higher, even if the Advanced Higher has a lower grade. To overcome this, we look at students' Scottish Highers separately to see if they qualify for a particular grade combination category, regardless of their Advanced Highers.
38. Before QUALGRADE entries are processed, hashes and lower-case letters are removed. These characters do not affect the assignment of tariff points and can therefore be disregarded when deriving grade combinations (for example QUALGRADE = Ad becomes A, A#B# becomes AB). For the same reason, numbers and asterisks are stripped from QUALGRADE entries for Scottish Advanced Highers and Scottish Highers (QUALTYPE = AH, H), and 'NC', 'P' and 'Q' are stripped from QUALGRADE entries (where QUALGRADE = A*NC, A*P, ANC, AP, BNC, BP, CNC, CP, DNC, DP, DQ, ENC, EP) for reformed English A-levels (QUALTYPE = RE). Scottish Highers (QUALTYPE = H) where (QUALGRADE = P) are converted to (QUALGRADE = C).
39. Where a double award has been taken (QUALTYPE = DA, D1, V2), each of the two grades is treated separately (i.e. QUALTYPE = A, V). For example, if QUALTYPE = DA and QUALGRADE = AB, the student will be treated as though they were awarded one A grade and one B grade at A-level. Likewise where an A-level and an AS-level are treated as a combined award (QUALTYPE = 9U, AN), each of the two grades is treated separately as an A-level and AS-level respectively. For example, if QUALTYPE = AN and QUALGRADE = BC the student will be treated as though they were awarded a B grade at A-level and a C grade at AS-level.

Value	Description	Definition
ACC_BBC+	Student's highest A-level grades are at least ACC or BBC	Student has at least three QUALTYPE = A, RE, RN, RW, V qualifications in distinct subjects (except when derived from double awards), for which the three highest QUALGRADE entries are: (A*, A*, A*); (A*, A*, A); (A*, A, A); (A, A, A); (A*, A*, B); (A*, A, B); (A, A, B); (A*, A*, C); (A*, A, C); (A, A, C); (A*, B, B); (A, B, B); (A*, B, C); (A, B, C); (B, B, B); (A*, C, C); (A, C, C); (B, B, C)
SPGRADECOMB_HESA only: ACC_BBC+	Student's highest Scottish Advanced Higher grades are at least ACC or BBC	Student has at least three QUALTYPE = AH qualifications in distinct subjects, for which the three highest QUALGRADE entries are: (A, A, A); (A, A, B); (A, A, C); (A, B, B); (A, B, C); (B, B, B); (A, C, C); (B, B, C)
SPGRADECOMB_HESA only: ACC_BBC+	Student's highest Scottish Higher grades are at least AACCC or ABCCC or BBBBC or BBBC	Student has at least five QUALTYPE = H qualifications in distinct subjects, for which the five highest QUALGRADE entries are: (A, A, A, A, A); (A, A, A, A, B); (A, A, A, B, B); (A, A, A, A, C); (A, B, B, B, B); (A, A, B, B, C); (A, A, B, B, B); (A, A, A, A, D); (A, A, A, B, C); (A, A, A, C, C); (A, A, A, B, D); (A, A, B, C, C); (A, B, B, B, C); (A, B, B, C, C); (B, B, B, B, B); (A, A, C, C, C); (A, B, C, C, C); (B, B, B, B, C); (B, B, B, C, C)
BCC_CCC	Student's highest A-level grades are BCC or CCC	Student has at least three QUALTYPE = A, RE, RN, RW, V qualifications in distinct subjects (except when derived from double awards), for which the three highest QUALGRADE entries are: (B, C, C); (C, C, C)
SPGRADECOMB_HESA only: BCC_CCC	Student's highest Scottish Advanced Higher grades are BCC or CCC	Student has at least three QUALTYPE = AH qualifications in distinct subjects, for which the three highest QUALGRADE entries are: (B, C, C); (C, C, C)
SPGRADECOMB_HESA only: BCC_CCC	Student's highest Scottish Higher grades are ACCCC or BBCCC or BCCCC or CCCCC	Student has at least five QUALTYPE = H qualifications in distinct subjects, for which the five highest QUALGRADE entries are: (A,C,C,C,C); (B,B,C,C,C); (B,C,C,C,C); (C,C,C,C,C)
OTHER	Otherwise	Otherwise

SPGRADECOMB

40. This field contains the value of either SPGRADECOMB_HESA or SPGRADECOMB_LINKED.

Value	Definition
= SPGRADECOMB_HESA	(SPGRADECOMB_HESA = ACC_BBC+ and SPGRADECOMB_LINKED = ACC_BBC+, BCC_CCC, OTHER) or (SPGRADECOMB_HESA = BCC_CCC and SPGRADECOMB_LINKED = BCC_CCC, OTHER)
= SPGRADECOMB_LINKED	(SPGRADECOMB_LINKED = ACC_BBC+ and SPGRADECOMB_HESA = BCC_CCC, OTHER) or (SPGRADECOMB_LINKED = BCC_CCC and SPGRADECOMB_HESA = OTHER)
OTHER	SPGRADECOMB_HESA = OTHER and SPGRADECOMB_LINKED = OTHER

SPTARIFF_HESA, SPTARIFF_LINKED

41. These fields contain the tariff score for the student and are calculated using the method that is used to calculate the derived field XTPOINTS for 2021-22. SPTARIFF_HESA is calculated using data from the Continuity Register. SPTARIFF_LINKED is calculated using ILR and/or NPD data. They are only calculated when (SPGRADECOMB = OTHER). The full specification for XTPOINTS in 2021-22 can be found here: <https://www.hesa.ac.uk/collection/c21051/derived/contents>.

SPBACC_HESA, SPBACC_LINKED

42. These fields indicate whether the student's highest qualification on entry is an International Baccalaureate. SPBACC_HESA is calculated using data from the Continuity Register. SPBACC_LINKED is calculated using ILR and/or NPD data. They are only calculated when (SPGRADECOMB = OTHER).

Value	Description	Definition
1	International Baccalaureate	SPGRADECOMB = OTHER and ((QUALTYPE = IE qualification with QUALGRADE ≥ 24) or (tariff points [†] from QUALTYPE = IB, IC, ID, IS, IX qualifications > (0.5 × SPTARIFF_X*)) or (only QUALTYPE = IB, IC, ID, IE, IS, IX qualifications and (QUALTYPE = IE qualification with 1 ≤ QUALGRADE ≤ 23) or tariff points [†] > 0))
BLANK	Otherwise	Otherwise

[†] Total equals tariff points from QUALTYPE = IB, IS qualifications, with duplicates removed by subject, plus tariff points from best QUALTYPE = IC, ID, IX qualifications.

* SPTARIFF_X is equal to SPTARIFF_HESA for SPBACC_HESA, and is equal to SPTARIFF_LINKED for SPBACC_LINKED.

SPBACC

43. This field contains the value of either SPBACC_HESA or SPBACC_LINKED.

Value	Description	Definition
1	International Baccalaureate	SPBACC_HESA = 1 or SPBACC_LINKED = 1
0	Not an International Baccalaureate	SPGRADECOMB = OTHER and not above
BLANK	Not calculated	SPGRADECOMB ≠ OTHER

SPBTEC_HESA, SPBTEC_LINKED

44. These fields indicate whether the student's highest qualification on entry is a BTEC. SPBTEC_HESA is calculated using data from the Continuity Register. SPBTEC_LINKED is calculated using ILR and/or NPD data. They are only calculated when (SPBACC = 0).

Value	Description	Definition
1	BTEC	SPBACC = 0 and ((tariff points from QUALTYPE = 0A, 0B, 1A, 1B, 1C, 2B, 2C, 3B, 3C, 4B, 4C, 5B, 5C, 6B, 6C, 7B, 7C, 7T, 7U, 7V, 7Z, 8B, 8C, 8I, 9B, 9C, B, B0, B1, B2, B3, B4, B5, B6, B7, BA, BB, BC, BD, BE, BF, BI, BQ, BR, BT, BU, BV, BW, BX, BY, BZ, D2, D4, D5, D9, DX, DY, DZ, EE, EF, EY, FJ, FL, FN, FP, FQ, FU, FV, FW, FX, FZ, G1, G3, G4, G5, G9, GJ, GK, PJ, PK, PM, PN, PX, PY, PZ, Q1, Q2, Q3, Q4, Q5, Q9, QA, QB, QC, QD, QE, QF, QH, QJ, QK, QL, QM, QX ≥ 48) or (only QUALTYPE = 0A, 0B, 1A, 1B, 1C, 2B, 2C, 3B, 3C, 4B, 4C, 5B, 5C, 6B, 6C, 7B, 7C, 7T, 7U, 7V, 7Z, 8B, 8C, 8I, 9B, 9C, B, B0, B1, B2, B3, B4, B5, B6, B7, BA, BB, BC, BD, BE, BF, BI, BQ, BR, BT, BU, BV, BW, BX, BY, BZ, D2, D4, D5, D9, DX, DY, DZ, EE, EF, EY, FJ, FL, FN, FP, FQ, FU, FV, FW, FX, FZ, G1, G3, G4, G5, G9, GJ, GK, PJ, PK, PM, PN, PX, PY, PZ, Q1, Q2, Q3, Q4, Q5, Q9, QA, QB, QC, QD, QE, QF, QH, QJ, QK, QL, QM, QX qualifications and SPTARIFF_X* > 0))
BLANK	Otherwise	Otherwise

* SPTARIFF_X is equal to SPTARIFF_HESA for SPBTEC_HESA, and is equal to SPTARIFF_LINKED for SPBTEC_LINKED.

SPBTEC

45. This field contains the value of either SPBTEC_HESA or SPBTEC_LINKED.

Value	Description	Definition
1	BTEC	SPBTEC_HESA = 1 or SPBTEC_LINKED = 1
0	Not a BTEC	SPBACC = 0 and not above
BLANK	Not calculated	SPBACC ≠ 0

SPACCESS_HESA, SPACCESS_LINKED

46. These fields indicate whether the student's highest qualification on entry is an Access to HE Diploma. SPACCESS_HESA is calculated using data from the Continuity Register. SPACCESS_LINKED is calculated using ILR and/or NPD data. They are only calculated when (SPBTEC = 0).

Value	Description	Definition
1	Access to HE Diploma	SPBTEC = 0 and (at least one QUALTYPE = LD, Y1, Y2, Y3, Y4, Y5, Y6, Y7, Y8, Y9, YA, YB, YC, YD, YF qualification where QUALGRADE is at least a pass grade)
BLANK	Otherwise	Otherwise

SPACCESS

47. This field contains the value of either SPACCESS_HESA or SPACCESS_LINKED.

Value	Description	Definition
1	Access to HE Diploma	SPACCESS_HESA = 1 or SPACCESS_LINKED = 1
0	Not an Access to HE Diploma	SPBTEC = 0 and not above
BLANK	Not calculated	SPBTEC ≠ 0

SPTARIFF

48. This field contains the value of either SPTARIFF_HESA or SPTARIFF_LINKED. It is only calculated when (SPACCESS = 0).

Value	Definition
= SPTARIFF_HESA	SPACCESS = 0 and (SPTARIFF_HESA ≥ SPTARIFF_LINKED)

Value	Definition
= SPTARIFF_LINKED	SPACCESS = 0 and (SPTARIFF_LINKED > SPTARIFF_HESA)
BLANK	SPACCESS ≠ 0

SPTARQUAL

49. This field indicates whether the student has any qualifications in the Continuity Register which could contribute to the tariff calculation.

Value	Description	Definition
TAR	Student has at least one qualification type in the Continuity Register which could contribute to the tariff calculation	At least one QUALTYPEXX is in the following list: 0A, 0B, 1A, 1B, 1C, 1D, 1E, 1F, 1G, 1H, 1J, 1K, 1L, 1M, 1N, 1P, 1Q, 1R, 1S, 1T, 1U, 1V, 1W, 1X, 1Y, 1Z, 2A, 2B, 2C, 2D, 2E, 2F, 2G, 2H, 2I, 2J, 2K, 2L, 2M, 2N, 2P, 2Q, 2R, 2S, 2T, 2U, 2V, 2W, 2X, 2Y, 2Z, 3A, 3B, 3C, 3D, 3E, 3F, 3G, 3H, 3I, 3J, 3K, 3L, 3M, 3N, 3P, 3Q, 3R, 3S, 3T, 3U, 3V, 3W, 3X, 3Y, 3Z, 4A, 4B, 4C, 4D, 4E, 4F, 4G, 4H, 4I, 4J, 4K, 4L, 4M, 4N, 4P, 4Q, 4R, 4S, 4T, 5B, 5C, 5D, 5E, 5F, 5G, 5H, 5I, 5J, 5K, 5L, 5M, 5N, 5P, 5Q, 5R, 5S, 5T, 5U, 5V, 5W, 6B, 6C, 6G, 6H, 6I, 6J, 6K, 6L, 6M, 6N, 6P, 6Q, 6R, 6S, 6T, 6U, 6V, 6X, 6Y, 6Z, 7A, 7B, 7C, 7D, 7E, 7F, 7G, 7H, 7I, 7J, 7K, 7L, 7M, 7N, 7P, 7Q, 7R, 7S, 7T, 7U, 7V, 7W, 7X, 7Y, 7Z, 8A, 8B, 8C, 8D, 8F, 8G, 8H, 8I, 8J, 8K, 8L, 8M, 8N, 8P, 8Q, 8R, 8S, 8T, 8U, 8V, 8W, 8Z, 9A, 9B, 9C, 9E, 9F, 9G, 9H, 9J, 9K, 9L, 9M, 9N, 9P, 9R, 9S, 9T, 9U, 9V, 9W, 9X, 9Y, 9Z, A, A1, A3, A4, A5, A6, A7, A8, A9, AD, AE, AF, AG, AH, AJ, AK, AL, AM, AN, AP, AR, AS, AT, AU, AW, AY, AZ, B, B0, B1, B2, B3, B4, B5, B6, B7, BA, BB, BC, BD, BE, BF, BI, BQ, BR, BT, BU, BV, BW, BX, BY, BZ, C1, C2, C3, C4, C5, C6, C7, C8, C9, CB, CC, CD, CE, CF, CH, CJ, CK, CL, CM, CN, CO, CP, CR, CT, CU, CV, CW, CX, CY, CZ, D1, D2, D4, D5, D6, D7, D8, D9, DA, DB, DC, DD, DE, DF, DG, DH, DI, DJ, DK, DL, DM, DN, DO, DP, DQ, DR, DS, DT, DU, DV, DW, DX, DY, DZ, E5, E6, E7, E8, E9, EA, EC, EE, EF, EG, EH, EI, EJ, EK, EL, EM, EP, EQ, ER, ET, EU, EV, EX, EY, EZ, F5, F6, F7, F8, F9, FA, FD, FF, FG, FH, FM, FR, FS, FT, FY, G6, G7, G8, GH, GI, GT, GU, GV, GW, GX, GY, GZ, H, H4, H5, H6, H7, H8, H9, HB, HC, HD, HE, HG, HH, HJ, HK, HL, HM, HN, HP, HQ, HR, HT, HU, HV, HW, HX, HY, HZ, IB, IC, ID, IH, IJ, IL, IM, IN, IO, IS, IX, IY, IZ, J1, J2, J3, J4, J5, J6, J7, J8, J9, JA, JB, JC, JD, JE, JF, JG, JH, JJ, JK, JL, JM, JN, JP, JQ, JR, JS, JT, JU, JV, JW, JX, JY, JZ, K3, K5, K6, K7, K8, K9, KA, KB, KC, KD, KE, KF, KG, KH, KI, KJ, KK, KL, KM, KN, KP, KQ, KR, KS, KT, KU, KV, KW, KX, KY, KZ, L1, L2, L3, L4, L5, L6, L7, L8, L9, LA, LB, LC, LF, LG, LH, LI, LJ, LK, LL, LM, LN, LP, LQ, LR, LS, LT, LU, LV, LW, LX, LY, LZ, M1, M2, M3, M4, M6, M7, M8, MB, MC, MD, ME, MF, MG, MH, MJ, MK, ML, MM, MN, MP, MQ, MR, MV, MW, MX, MY, MZ, N0, N1, N2, N3, N4, N5, N6, N7, N8, N9, NA, NB, NE, NF, NG, NH, NI, NJ, NK, NL, NM, NN, NP, NQ, NR, NS, NT, NV, NW, NX, NY, NZ, O1, O2, O3, O4, O5, O6, O7, O8, O9, OA, OB, OC, OD, OE, OF, OH, OJ, OK, OL, OM, ON, OP, OQ, OR, OS, OT, OV, OW, OX, OY, OZ, P0, P1, P2, P3, P4, P5, P6, P7, P8, P9, PB, PC, PD, PG, PI, PJ, PK, PL, PM, PN, PP, PR, PV, PW, PX, PY, PZ, Q1, Q2, Q3, Q4, Q5, QJ, QK, QL, QM, QP, QQ, QR, QS, QT, QU, QV, QW, QX, QY, QZ, R1, R2, R3, R4, R5, R6, R7, R8, RA, RB, RC,

Value	Description	Definition
		RD, RE, RF, RG, RH, RJ, RK, RL, RM, RN, RP, RQ, RR, RS, RT, RU, RV, RW, RZ, S1, S2, S3, S9, SD, SE, SF, SH, SI, SJ, SK, SL, SM, SQ, SR, SU, SV, SX, SY, SZ, T1, T2, T3, T4, T5, T6, T7, T8, T9, TA, TE, TF, TG, TH, TJ, TK, TL, TP, TQ, TR, TS, TT, TU, TV, TW, TX, TY, TZ, U1, U2, U3, U4, U5, U6, U7, U8, U9, UA, UB, UC, UE, UF, UG, UH, UK, UL, UM, UN, UP, UQ, UR, US, UT, UU, UV, UW, UX, UY, UZ, V, V1, V2, V3, V4, V5, V6, V7, V8, V9, VA, VB, VC, VD, VE, VF, VG, VH, VI, VJ, VK, VL, VM, VN, VP, VQ, VR, VS, VT, VU, VV, VW, VX, VY, VZ, W3, W5, W8, WB, WE, WF, WG, WJ, WK, WL, WM, WN, WP, WQ, WS, WT, WV, WW, WX, WY, X2, X3, X4, X5, X6, X7, X8, X9, XA, XB, XC, XD, XE, XF, XG, XH, Y1, Y2, Y3, Y4, Y5, Y6, Y7, Y8, YA, YB, YC, YD, YE, YK, YL
NOTAR	None of the student's qualification types in the Continuity Register could contribute to the tariff calculation	QUALTYPE01 ≠ BLANK and not above
EMPTY	Student has nothing in the Continuity Register	Otherwise

SPL3SOURCE

50. This field indicates the source of the data on qualifications on entry used to derive SPGRADECOMB, SPBACC, SPBTEC, SPACCESS and SPTARIFF.

Value	Description	Definition
HESA	Continuity Register data	(SPGRADECOMB_HESA = ACC_BBC+ and SPGRADECOMB_LINKED = ACC_BBC+, BCC_CCC, OTHER) or (SPGRADECOMB_HESA = BCC_CCC and SPGRADECOMB_LINKED = BCC_CCC, OTHER) or SPBACC_HESA = 1 or SPBTEC_HESA = 1 or SPACCESS_HESA = 1 or (SPTARIFF = SPTARIFF_HESA and SPTARIFF ≠ 0) or (SPTARIFF = 0 and (SPTARQUAL ≠ EMPTY or (SPTARQUAL = EMPTY and SPLINK ≠ 1)))
ILRNPD	ILR and/or NPD data	(SPGRADECOMB_LINKED = ACC_BBC+ and SPGRADECOMB_HESA = BCC_CCC, OTHER) or (SPGRADECOMB_LINKED = BCC_CCC and SPGRADECOMB_HESA = OTHER) or (SPBACC_LINKED = 1 and SPBACC_HESA ≠ 1) or

Value	Description	Definition
		(SPBTEC_LINKED = 1 and SPBTEC_HESA ≠ 1) or (SPACCESS_LINKED = 1 and SPACCESS_HESA ≠ 1) or (SPTARIFF = SPTARIFF_LINKED and SPTARIFF ≠ SPTARIFF_HESA) or (SPTARIFF = 0 and SPTARQUAL = EMPTY and SPLINK = 1)
HESA	Student has a higher education qualification	QUALENT3 = DUK, DZZ, D80, M41, M44, M71, M80, M90, MUK, MZZ, H71, M2X, H11, HUK, HZZ, JUK, H80, J10, J20, J30, J48, J80, C20, C30, C44, C80, C90, J49

SPENTQUAL

51. This field contains the grouping of the student's highest qualification on entry.

Value	Description	Definition
HEPG	Higher education: Postgraduate	QUALENT3 = DUK, DZZ, D80, M41, M44, M71, M80, M90, MUK, MZZ, H71
HEFD	Higher education: First degree	QUALENT3 = M2X, H11, HUK, HZZ, JUK
HEOUG	Higher education: Other undergraduate	QUALENT3 = H80, J10, J20, J30, J48, J80, C20, C30, C44, C80, C90
FOU	Foundation course	QUALENT3 = J49
L3_COMB	Combination of A-levels, or combination of Scottish Advanced Highers, or combination of Scottish Highers	SPGRADECOMB = ACC_BBC+, BCC_CCC
BACC	International Baccalaureate	(QUALENT3 = P62, P63 or SPBACC = 1) and not above
BTEC	BTEC	SPBTEC = 1 and not above
ACCESS	Access course	(QUALENT3 = X00, X01 or SPACCESS = 1) and not above
OTH3_TAR	Other Level 3 qualifications (generally expected to attract tariff points)	(QUALENT3 = P47, P50, P54, P64, P65, P68, P91, P93, P94 or SPLINK = 1 or SPTARQUAL = TAR) and not above
OTH3_NOTAR	Other Level 3 qualifications (may not attract tariff points)	QUALENT3 = P41, P42, P46, P51, P53, P80, P92 and not above
NONE	No formal qualifications	QUALENT3 = X02, X05 and not above
OTHER	Other qualifications (unknown level, or below Level 3)	QUALENT3 = Q51, Q52, Q80, R51, R52, R80, X04 and not above
UNKNOWN	Unknown qualifications	Otherwise

SPUGLEVEL

52. This field splits undergraduate students into those aiming for a first degree and other undergraduates.

Value	Description	Definition
FIRST	Undergraduate aiming for a first degree	HESLEVEL = UG and COURSEAIM = H00, H11, H12, H16, H18, H22, H23, I00, I11, I12, I16, M22, M26, M28
OUG	Other undergraduate	HESLEVEL = UG and not above
OTHER	Not an undergraduate	Otherwise

SPHIGHQUAL

53. This field indicates whether or not the student has previously obtained their qualification aim, or a higher qualification aim.

Value	Description	Definition
1	Student has not previously obtained their qualification aim, or a higher qualification aim	((COURSEAIM = M22, M26, M28 or COURSEAIM* = H) and QUALENT3 [†] = J, C and QUALENT3 ≠ C90) or (COURSEAIM = I00, I11, I12, I16 and QUALENT3 [†] = J, C and QUALENT3 ≠ JUK, C90) or (COURSEAIM* = I, J and COURSEAIM ≠ I00, I11, I12, I16 and QUALENT3 [†] = C and QUALENT3 ≠ C90) or ((COURSEAIM = M22, M26, M28 or COURSEAIM* = H, I, J, C) and COURSEAIM ≠ H90, I90, J90, C90 and QUALENT3 = C90) or (QUALENT3 [†] = P, Q, R, X and QUALENT3 ≠ X06)
0	Otherwise	Otherwise

* The first character of COURSEAIM is used.

† The first character of QUALENT3 is used.

SPEXCL1

54. This SPPOP exclusion flag indicates that the student is excluded from the full-time successful outcomes population for reasons other than those related to their home postcode (POSTCODE) or data on their qualifications on entry.

Value	Description	Definition
0	Student not excluded for reason not related to home postcode or data on qualifications on entry	HESEXCL = 0 and HESCOMP = 4 and DOMICILE = XF, XG, XH, XI, XK and HESMODE = FTS, SWOUT and HESLEVEL = UG and (HESTYPE = HOMEF or (HESTYPE = HOMENF and COURSEAIM = H16, I16, J26, M26 and

Value	Description	Definition
		((REGBODY1 = 06, 42, 44, 46, 47, 48, 50, 52, 55, 56, 57, 61, 62, 63, 64, 65 or REGBODY2 = 06, 42, 44, 46, 47, 48, 50, 52, 55, 56, 57, 61, 62, 63, 64, 65) and COMDATE ≥ 1 August 2015 and COMDATE < 1 August 2017) or ((REGBODY1 = 36, 37 or REGBODY2 = 36, 37) and COMDATE ≥ 1 August 2016 and COMDATE < 1 August 2018))))
1	Student excluded for reason not related to home postcode or data on qualifications on entry	Otherwise

SPEXCL2

55. This SPPOP exclusion flag indicates whether the student's home postcode (POSTCODE) is excluded from the mapping to 2011 Middle Layer Super Output Areas (MSOA) in England and Wales, 2011 Super Output Areas (SOA) in Northern Ireland and 2001 Intermediate Zones (IZ) in Scotland. Postcodes are excluded for the following reasons: (1) analysis indicates they are unsuitable for inclusion in our area-based measures (typically postcodes of institutions such as boarding schools); (2) no link to an MSOA, SOA or Intermediate Zone is possible; (3) they were terminated and reused in a different MSOA, SOA or Intermediate Zone, which is associated with a different quintile from the one previously published.

Value	Description
1	Student's home postcode excluded from mapping
0	Otherwise

SPEXCL4

56. This SPPOP exclusion flag indicates that the student is recorded as having an 'Other qualification at level 3' (QVALENT3 = P80), but no Level 3 qualifications were found by linking to ILR or NPD data.

Value	Description	Definition
1	Student has (QVALENT3 = P80) but no Level 3 qualifications were found by linking to ILR or NPD data	QVALENT3 = P80 and SPLINK ≠ 1
0	Otherwise	Otherwise

SPEXCL8

57. This SPPOP exclusion flag indicates that we expect the ILR and/or NPD data used (if SPL3SOURCE = ILRNPDP) to determine the student's highest qualification on entry grouping (SPENTQUAL) and tariff score (SPTARIFF) to be incomplete, because the student was at least 37 years old on 31 August 2021 and we can only link to data from 2002-03 onwards.

Value	Description	Definition
1	Student's ILR and/or NPD data used to determine SPENTQUAL and SPTARIFF is expected to be incomplete	SPLINKAGE ≥ 37 and SPL3SOURCE = ILRNPDP and (SPENTQUAL = L3_COMB, BTEC, OTH3_TAR or (SPENTQUAL = BACC and QVALENT3 ≠ P62, P63) or (SPENTQUAL = ACCESS and QVALENT3 ≠ X00, X01))
0	Otherwise	Otherwise

SPEXCL16

58. This SPPOP exclusion flag indicates that the student has at least one qualification in the Continuity Register where the grade has not been recorded (QUALGRADE = BLANK), so we expect their tariff score (SPTARIFF) to be incorrect. We use SPL3SOURCE to ensure that this exclusion is only applied to students where the Continuity Register has the most complete information on their qualifications on entry.

Value	Description	Definition
1	Student has at least one qualification in the Continuity Register where the grade has not been recorded	SPL3SOURCE = HESA and SPENTQUAL = OTH3_TAR, OTH3_NOTAR and (QUALTYPEXX ≠ BLANK and QUALGRADEXX = BLANK)*
0	Otherwise	Otherwise

* This condition applies to any pair of QUALTYPE and QUALGRADE for the student

SPEXCL

59. The value of this field can be used to determine the value of each of the SPPOP exclusion flags (SPEXCL1-16).

Value	Description	Definition
1	Student excluded for reason not related to home postcode or data on qualifications on entry	SPEXCL1 = 1
2	Student's home postcode excluded from mapping	SPEXCL2 = 1
4	Student has (QVALENT3 = P80) but no Level 3 qualifications were found by linking to ILR or NPD data	SPEXCL4 = 1
8	Student's ILR and/or NPD data used to determine SPENTQUAL and SPTARIFF is expected to be incomplete	SPEXCL8 = 1

Value	Description	Definition
16	Student has at least one qualification in the Continuity Register where the grade has not been recorded	SPEXCL16 = 1
0	Otherwise	None of the above

60. SPEXCL contains the sum of all applicable values from the table above. It is calculated as $(1 \times \text{SPEXCL1}) + (2 \times \text{SPEXCL2}) + (4 \times \text{SPEXCL4}) + (8 \times \text{SPEXCL8}) + (16 \times \text{SPEXCL16})$. The reason(s) for the exclusion can therefore be identified. For example, if $\text{SPEXCL} = 11$, by subtracting values from the above table (starting at the bottom), we see that the student's ILR and/or NPD data used to determine SPENTQUAL and SPTARIFF is expected to be incomplete ($\text{SPEXCL8} = 1$), their home postcode is excluded from the mapping ($\text{SPEXCL2} = 1$) and they are excluded for another reason not related to their home postcode or data on their qualifications on entry ($\text{SPEXCL} = 1$).

SPPOP

61. This field indicates whether the student is included in the full-time successful outcomes population.

Value	Description	Definition
1	Included in full-time successful outcomes population	$\text{SPEXCL} = 0$
0	Otherwise	Otherwise

SPEXCLPOP

62. This field indicates that the student is excluded from the full-time successful outcomes population for one or more reasons related to data on their qualifications on entry. If the number of students with ($\text{SPEXCLPOP} = 1$) exceeds the number of students with ($\text{SPPOP} = 1$), then we do not use the provider's headcounts to calculate its 'Premium to support successful student outcomes: full-time' allocation (see '2021-22 Post-collection outputs: Student premium method document' for more information).

Value	Description	Definition
1	Excluded from full-time successful outcomes population for one or more reasons related to qualifications on entry data	$\text{SPEXCL1} = 0$ and $\text{SPEXCL2} = 0$ and ($\text{SPEXCL4} = 1$ or $\text{SPEXCL8} = 1$ or $\text{SPEXCL16} = 1$)
0	Otherwise	Otherwise

SPGRP

63. This field holds the entry qualification risk group the student was assigned to.

64. For those aiming for a first degree (SPUGLEVEL = FIRST), the assignment to risk groups is as follows:

Value	Description	Definition
Y_F_L	Young, first degree, low risk	SPUGLEVEL = FIRST and SPENTRYAGE < 21 and ((SPENTQUAL = OTH3_TAR, OTH3_NOTAR and SPTARIFF ≥ 116) or SPENTQUAL = HEPG, HEOUG, HEFD, L3_COMB, BACC)
Y_F_M	Young, first degree, medium risk	SPUGLEVEL = FIRST and SPENTRYAGE < 21 and ((SPENTQUAL = OTH3_TAR, OTH3_NOTAR and (41 ≤ SPTARIFF ≤ 115 or SPTARIFF = 0)) or SPENTQUAL = FOU) and not above
Y_F_H	Young, first degree, high risk	SPUGLEVEL = FIRST and SPENTRYAGE < 21 and ((SPENTQUAL = OTH3_TAR, OTH3_NOTAR and 1 ≤ SPTARIFF ≤ 40) or SPENTQUAL = ACCESS, BTEC, OTHER, NONE) and not above
Y_F_U	Young, first degree, unknown	SPUGLEVEL = FIRST and SPENTRYAGE < 21 and not above
M_F_L	Mature, first degree, low risk	SPUGLEVEL = FIRST and SPENTRYAGE ≥ 21 and ((SPENTQUAL = L3_COMB and SPGRADECOMB = ACC_BBC+) or (SPENTQUAL = OTH3_TAR, OTH3_NOTAR and SPTARIFF ≥ 106) or SPENTQUAL = HEPG, HEOUG, HEFD)
M_F_M	Mature, first degree, medium risk	SPUGLEVEL = FIRST and SPENTRYAGE ≥ 21 and ((SPENTQUAL = L3_COMB and SPGRADECOMB = BCC_CCC) or (SPENTQUAL = OTH3_TAR, OTH3_NOTAR and SPTARIFF ≤ 105) or SPENTQUAL = BACC, ACCESS, FOU) and not above
M_F_H	Mature, first degree, high risk	SPUGLEVEL = FIRST and SPENTRYAGE ≥ 21 and SPENTQUAL = BTEC, OTHER, NONE and not above
M_F_U	Mature, first degree, unknown	SPUGLEVEL = FIRST and SPENTRYAGE ≥ 21 and not above

65. For other undergraduates (SPUGLEVEL = OUG), the assignment to risk groups is instead as follows:

Value	Description	Definition
Y_O_L	Young, other undergraduate, low risk	SPUGLEVEL = OUG and SPENTRYAGE < 21 and ((SPENTQUAL = OTH3_TAR, OTH3_NOTAR and SPTARIFF ≥ 116) or SPENTQUAL = HEPG, HEOUG, HEFD, L3_COMB)
Y_O_M	Young, other undergraduate, medium risk	SPUGLEVEL = OUG and SPENTRYAGE < 21 and ((SPENTQUAL = OTH3_TAR, OTH3_NOTAR and 66 ≤ SPTARIFF ≤ 115) or SPENTQUAL = BACC, FOU, ACCESS) and not above
Y_O_H	Young, other undergraduate, high risk	SPUGLEVEL = OUG and SPENTRYAGE < 21 and ((SPENTQUAL = OTH3_TAR, OTH3_NOTAR and SPTARIFF ≤ 65) or SPENTQUAL = BTEC, OTHER, NONE) and not above
Y_O_U	Young, other undergraduate, unknown	SPUGLEVEL = OUG and SPENTRYAGE < 21 and not above
M_O_L	Mature, other undergraduate, low risk	SPUGLEVEL = OUG and SPENTRYAGE ≥ 21 and ((SPENTQUAL = OTH3_TAR, OTH3_NOTAR and SPTARIFF ≥ 66) or SPENTQUAL = HEPG, HEOUG, HEFD, L3_COMB, ACCESS)
M_O_M	Mature, other undergraduate, medium risk	SPUGLEVEL = OUG and SPENTRYAGE ≥ 21 and ((SPENTQUAL = OTH3_TAR, OTH3_NOTAR and 1 ≤ SPTARIFF ≤ 65) or SPENTQUAL = FOU) and not above
M_O_H	Mature, other undergraduate, high risk	SPUGLEVEL = OUG and SPENTRYAGE ≥ 21 and (SPENTQUAL = BACC, BTEC, OTHER, NONE or (SPENTQUAL = OTH3_TAR, OTH3_NOTAR and SPTARIFF = 0)) and not above
M_O_U	Mature, other undergraduate, unknown	SPUGLEVEL = OUG and SPENTRYAGE ≥ 21 and not above

SPQUIN

66. The SPQUIN field indicates:

- a. For young students in the full-time successful outcomes population (SPPOP = 1 and SPENTRYAGE < 21): the POLAR4 quintile (www.officeforstudents.org.uk/data-and-analysis/young-participation-by-area/get-the-postcode-data) of the student's 2011 MSOA (2011 SOA in Northern Ireland, 2001 Intermediate Zone in Scotland). Values are 1 to 5, with 1 being the quintile of lowest participation rates.

- b. For mature students in the full-time successful outcomes population ($SPPOP = 1$ and $SPENTRYAGE \geq 21$): the Adult HE 2011 quintile (www.officeforstudents.org.uk/data-and-analysis/young-participation-by-area/get-the-postcode-data) of the student's 2011 MSOA (2011 SOA in Northern Ireland, 2001 Intermediate Zone in Scotland). Values are 1 to 5, with 1 being the quintile with the lowest proportions of higher education qualified adults. Note that mature full-time students who already hold a higher education qualification at the same level as, or higher than, their current qualification aim, or have unknown entry qualifications ($SPHIGHQUAL = 0$), are given a quintile value of 5, irrespective of their postcode.



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www.nationalarchives.gov.uk/doc/open-government-licence/version/3/